

R&D AND END-USER LICENSE APPLICATION

Office of Technology Transfer



UT-Battelle, LLC (UT-BATTELLE) is the management and operating (M&O) contractor for the Department of Energy's (DOE's) Oak Ridge National Laboratory (ORNL) in Oak Ridge, Tennessee. In its capacity as an M&O contractor, UT-BATTELLE acquires rights to intellectual property (patents, copyrights, trademarks and mask works) developed under its contract with DOE. One of the functions of UT-BATTELLE's Office of Technology Transfer is to negotiate license agreements for such intellectual property with companies for commercial applications of ORNL-developed technologies. Information UT-BATTELLE requires for an *R&D license* or an *end-user license* is indicated below (a distribution license would require another type of license application).

This application is for technology referred to as "Situ," which consists of the following UT-BATTELLE intellectual property:

- *Detection of Anomalous Events*. US Patent: 9,361,463 issued June 7, 2016 (ID-3010)
- *Real-Time Detection and Classification of Anomalous Events in Streaming Data*. US Patent: 9,319,421 issued April 19, 2016 (ID-3053)
- *Situ*. US Copyright Registration: pending (90000014)

1. What is the legal name of the company and its physical location?

2. Special considerations regarding your company:

Size

Small Business¹

Medium Business

Large Business²

Corporate Structure

S-Corporation

C-Corporation

Limited Liability Company

Partnership

Sole Proprietorship

Ownership

Wholly U.S. Owned

Foreign Owned in Whole or in Part (____%)

3. How many people are directly employed by your company: _____

4. If a corporation, in what U.S. state is your company incorporated? If foreign (non-U.S.) owned in whole or in part, in what country is the parent company based?

¹ Small Business as defined at Section 2 of Public Law No. 85-536 (15 U.S.C. 632) and implementing regulations of the Small Business Administration.

² Fortune 500 company.

5. Please provide the address (if different than above) and contact person's name and title who should receive notices regarding this license, and include a telephone number and e-mail address.
6. If the person signing the license is different from the above-named person, provide the name and title for the person who will be signing the license:
7. Are any of your company's principals³ (check all that apply):
- Current or former UT-Battelle/ORNL employees?
 Current or former UT-Battelle/ORNL consultants?
 Current or former DOE employees?
- If the answer to any of the above questions is "yes," please explain:
8. Is your company affiliated with (check all that apply):
- Battelle Memorial Institute or any of its subsidiaries?
 University of Tennessee or any of its subsidiaries?
- If the answer to any of the above questions is "yes", please explain:
9. Do you anticipate becoming a distributor of the technology?
- Yes
 No
- If the answer to the above question is "yes," what customer type, field, market, and/or sector would be of primary interest for distribution. (This question is asked to help define a 'field of use' if there is an option to a distribution license included in the R&D license.)
10. Which version of *Situ* do you need?
- | | | |
|---|---|---|
| <input type="checkbox"/> Linux 386 (32-bit) | <input type="checkbox"/> OS X 386 (32-bit) | <input type="checkbox"/> Windows amd64 (64-bit) |
| <input type="checkbox"/> Linux amd64 (64-bit) | <input type="checkbox"/> OS X amd64 (64-bit) | <input type="checkbox"/> FreeBSD 386 (32-bit) |
| <input type="checkbox"/> Linux ARMv6 | <input type="checkbox"/> Windows 386 (32-bit) | <input type="checkbox"/> FreeBSD amd64 (64-bit) |

Thank you for taking the time to complete this application. Please return completed application to:

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 865.241.3808, simsdl@ornl.gov
www.ornl.gov/partnerships/partnerships

³ "Principal" means a person with either a financial interest of five (5) percent or more in the prospective licensee if the licensee's stock is not publicly traded, or a person with a financial interest of more than \$100,000 in the prospective licensee if the licensee's stock is publicly traded.