

DIRECTIVES CONTROL FORM - DOE FINAL ORDER

PART A (To be completed by the **DIRECTIVES MANAGEMENT GROUP, AD-440**):

TO: **DIRECTOR, DIVISION OF PRIMARY INTEREST:** P. S. Garcia, Director, Office of Safeguards and Security, OS-20

NUMBER, TITLE, AND DATE OF DIRECTIVE: DOE M 471.1-1 CHANGE 1, IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION MANUAL, of 10/23/2001

The attached directive, which is within your area of functional responsibility, is forwarded for review and necessary action. Complete Part B and forward this form to AD-440 by 11/14/2001.

PART B (To be completed by the **DIVISION OF PRIMARY INTEREST (DPI)**):

1. THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS:

(Check Appropriate Boxes)

No (all contractors)

Yes If yes, whom? BWXT Y-12 ORAU SURA Bechtel Jacobs Company
 UT-BATTELLE

Other contractors (list by type) Those who handle UCNI.

Many ORO Contractors have approved S/RIDs or WSS sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

2. IMPLEMENTATION: Does the Order contain special implementation requirements and/or dates?

No Yes If yes, describe:

3. SIGNIFICANT PROVISIONS : Are there any significant changes or impact? No Yes If yes, describe.

Encryption requirements for transmission of UCNI over telecommunications circuits can be waived in emergency situations or when sender/receiver requires the information for public safety or security purposes but does not have encryption capability. In these cases, approval to waive encryption requirements must be approved by the Operations Manager or Director, Office of Safeguards and Security. This is not delegable to contractors.

4. OAK RIDGE OPERATIONS OFFICE ORDER: Is new ORO Order or revision required? Yes No
If yes, target date for submission of draft ORO Order to AD-440 is _____.

5. IDENTIFY CONTACT POINT: Linda Eble/ Qui Nguyen 576-6963/576-1600
Name Telephone

6. APPROVED BY DIRECTOR: P. S. Garcia, Dir., OS-20 11/02/2001 576-1858
Signature Date Telephone

7. DOE Orders are available on the Internet at <http://www.directives.doe.gov/>. Orders will no longer be mailed in printed copy unless you do not have Internet capabilities.

PART C (To be completed by the **DIRECTIVES MANAGEMENT GROUP (DMG)**):

APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH OFFICIAL DIRECTIVE DISTRIBUTION LIST:

Jennifer Hamilton-Cusick, AD-440 11/08/2001
Name Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED.
(Revised 05/10/2001)

NNSA/YSO CONTROL FORM – FINAL DIRECTIVE

PART A (To be completed by the ORO DIRECTIVES MANAGEMENT GROUP, AD-440):

DIRECTIVE NUMBER, TITLE, AND DATE:

DOE M 471.1-1, CHANGE 1, IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION, dated 10/23/2001

PURPOSE OF TRANSMITTAL: _____ New Directive Revised Directive

DOES THIS DIRECTIVE CANCEL/REPLACE OR EXTEND ANY OTHER DIRECTIVES? Yes _____ No
If "Yes," list what action (cancel/replace or extend) and list the Directive(s), including the number(s), title(s), and date(s):

This Directive cancels and replaces DOE M 471.1-1, IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION, dated 06/30/2000.

The attached Directive is forwarded for review and action. Complete Part B and forward this form to ORO DMG, AD-440, by 09/23/2004.

PART B (To be completed by the NNSA Y-12 SITE OFFICE, Y12-01):

CONTRACTOR APPLICABILITY:

Does this Directive affect the work performed by BWXT Y-12, L.L.C.? Yes _____ No

Does this Directive affect the work performed by BWXT Y-12, L.L.C., subcontractors? Yes _____ No

If "Yes," list the subcontractors:

All who have access to UCNI.

Many contractors have approved Standards/Requirements Identification Documents (S/RID) or Work Smart Standards (WSS) Sets that may affect applicability of contractor requirements from this Directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

SIGNIFICANT PROVISIONS: Are there any significant changes or impacts? Yes _____ No

List summary of Directive changes and, if "Yes" above, describe the significant changes or impacts:

Refinements to responsibilities and processes for Reviewing Officials. Transmission by tele-communications services must be encrypted; over public-switched broadcast communication paths, encryption is defined. Encryption can be waived in emergencies by Site Office Manager or AM for S&S.

IMPLEMENTATION: Does the Directive contain special implementation requirements and/or dates? _____ Yes No

If "Yes," describe:

FOR DOE DIRECTIVE – SUPPLEMENTAL DIRECTIVE REQUIRED?

Is a new or revised supplemental Directive required? _____ Yes No

If "Yes," target date for submission of YSO Directive is _____.

IDENTIFY CONTACT POINT: Jerry L. Howell 576-9330
Name Telephone

APPROVED BY COR FOR DIRECTIVES: Diane McCarten 11/22/2004 576-9330
Signature Date Telephone

PART C (To be completed by the ORO DIRECTIVES MANAGEMENT GROUP, AD-440):

DOE Directives are available on the DOE Directives Portal at <http://www.directives.doe.gov/>. ORO Directives are available on the ORO Directives Management Group Home Page at http://www.ornl.gov/doe/doe_oro_dmg/oro_dir.htm. Directives will no longer be mailed in printed copy unless you do not have Internet capabilities.

APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH OFFICIAL DIRECTIVE DISTRIBUTION LIST:

Wayne H. Albaugh, AD-440 11/23/2004
Name Date