

CONTROL FORM FOR DOE/ORO DIRECTIVE

PART A (To be completed by the ORO DIRECTIVES MANAGEMENT GROUP, AD-440):

1. DIRECTIVE NUMBER, TITLE, AND DATE:

DOE G 414.1-1B, MANAGEMENT AND INDEPENDENT ASSESSMENTS GUIDE FOR USE WITH 10, CFR, PART 830, SUBPART A, AND DOE O 414.1C, QUALITY ASSURANCE; DOE M 450.4-1, INTEGRATED SAFETY MANAGEMENT SYSTEM MANUAL; AND DOE O 226.1A, IMPLEMENTATION OF DEPARTMENT OF ENERGY OVERSIGHT POLICY, dated 09/27/2007

2. PURPOSE OF TRANSMITTAL: New Directive Revised Directive Revised Control Form

3. DOES THIS DIRECTIVE CANCEL OR EXTEND ANY OTHER DIRECTIVES? Yes No
If "Yes," list what action (cancel or extend) and list the Directive(s) number(s), title(s), and date(s):

This Guide cancels and replaces DOE G 414.1A, MANAGEMENT AND INDEPENDENT ASSESSMENTS GUIDE, dated 05/31/2001.

The attached Directive is forwarded for review and action. Complete Part B and forward a completed hard copy of this form to ORO DMG, AD-440, by 10/17/2007.

PART B (To be completed by the DIVISION OF PRIMARY INTEREST [DPI]):

COMPLETE FOR DOE DIRECTIVES ONLY:

4. SUPPLEMENTAL ORO DIRECTIVE REQUIRED?

Is a new or revised supplemental ORO Directive required? Yes No

If "Yes," target date for submission of supplemental ORO Directive is _____.

COMPLETE FOR BOTH DOE AND ORO DIRECTIVES:

5. CONTRACTOR APPLICABILITY.

Does this Directive affect the work performed by ORO Contractors? Yes No

If "Yes," whom? Bechtel Jacobs Company LLC ORAU

UT-Battelle, LLC ISOTEK (Bldg. 3019, ORNL)

Foster Wheeler (FWENC) Wackenhut (WSI)

Other Contractors (list by name)

6. SUBJECT MATTER EXPERT: Teresa Perry SE-31
Name Organization

7. APPROVED BY: H. R. Monroe, Dir. Technical Support and Assessment Div., SE-31 10/03/2007
Signature Organization Date

PART C (To be completed by the ORO DIRECTIVES MANAGEMENT GROUP, AD-440):

APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH OFFICIAL DIRECTIVE DISTRIBUTION LIST:

Original Signed By
Wayne H. Albaugh 10/03/2007
DMG Team Leader, AD-440 Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED. (Revised 02/10/2006)