

# DIRECTIVES CONTROL FORM - ORO O FINAL DIRECTIVE

## PART A (To be completed by the Division of Primary Interest (DPI))

1. NUMBER AND TITLE OF DIRECTIVE: **ORO O 470, Chapter VII, Chg. 1, PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS**

2. PURPOSE OF TRANSMITTAL:  New Directive  Revised Directive  Page Change

3. THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS: (Check appropriate boxes)

No (all contractors)

Yes If yes, whom?  LMES  LMER  ORAU  SURA  
 Bechtel Jacobs Company

Other contractors (list by type) All contractors requiring access to classified information, special nuclear materials, or other safeguards and security interests.

*Many ORO contractors have approved S/RIDs or WSS sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.*

4. SIGNIFICANT PROVISIONS: Are there any significant changes or impact?

No  Yes If yes, describe: This ORO Chapter is part of the ORO sunset review process. Changes include minor editorial revisions to this Chapter to (a) indicate the correct number of the correlating DOE Order (DOE 5632.1C) and DOE Manual (5632.1C-1) and, (b) revise paragraph 4b to update the division's organizational name change to Environmental Services Group.

5. CONTACT POINT: Barry Krause Safeguards and Security Division, DP-82 576-0898  
Name Organization Telephone

## PART B (To be completed by the Directives Management Group (DMG)):

6. FILING INSTRUCTIONS:

<u>Remove</u>	<u>Dated</u>	<u>Insert</u>	<u>Dated</u>
ORO O 470, Chapter VII	05/15/1996	ORO O Control Form	07/23/1998
Pages VII-1 through VII-3		ORO O 470, Chapter VII, Chg. 1, Pages VII-1 through VII-3	07/23/1998

*ORO Orders are available on the ORO Directives Management Home Page  
[[http://www.ornl.gov/doe\\_oro\\_dmg/orchklst.htm](http://www.ornl.gov/doe_oro_dmg/orchklst.htm)] within 5-10 working days after receipt of this Control Form.  
The ORO Orders will no longer be mailed in printed copy unless you do not have Internet capabilities.*

7. APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH THE OFFICIAL DIRECTIVES DISTRIBUTION LIST:

*Original signed by*  
Jennifer H. Cusick, AD-440 07/23/1998  
Signature Management Analyst, AD-440 Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED  
Rev. 08/04/1998

# U.S. Department of Energy

Oak Ridge Operations

ORO O 470 Chapter VII Chg. 1
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Date: 07/23/1998

SUBJECT: **PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS**

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1. PURPOSE. This Chapter correlates to DOE 5632.1C, PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS, dated July 15, 1994, and DOE M 5632.1C-1, MANUAL FOR PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS, dated July 15, 1994, by assigning responsibility and accountability and providing administrative and/or contractual guidance to Oak Ridge Operations (ORO), Office of Scientific and Technical Information (OSTI), and their contractors. Nothing in this issuance changes any requirements contained in any DOE Order.
2. CANCELLATION. This Chapter cancels and replaces ORO O 470, Chapter VII, PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS, dated May 15, 1996.
3. APPLICABILITY. The provisions of the Chapter apply to ORO and OSTI Principal Staff and to contractors to the extent set forth in a contract.
4. RESPONSIBILITIES.
  - a. Director, Safeguards and Security Division.
    - (1) Establishes and maintains ORO guidelines and security procedures for the protection and control of classified matter, special nuclear material, or other safeguards and security interests.
    - (2) Performs those tasks assigned to "cognizant local Departmental authority for safeguards and security," and "Departmental elements" in DOE M 5632.1C-1. May perform those tasks assigned to "local authority" in DOE M 5632.1C-1.
    - (3) Performs those tasks identified in DOE 5632.1C, subparagraphs 6a(1)(b), (c), (g)-(i), and (k); and 6i(2).
  - b. Director, Environmental Services Group, in coordination with Contracting Officer's Representatives, performs those tasks identified in DOE 5632.1C, subparagraphs 6a(1)(j) and 6i(1).
  - c. Director, Information Resources Management Division, implements the Federal Building Complex Security Program for the physical protection of DOE property and facilities (see paragraph 7 of this Chapter).
  - d. Contracting Officer's Representatives perform those tasks identified in DOE 5632.1C, subparagraphs 6a(1)(a), (d)-(f), and (l); and 6a(2)-(3).

5. REQUIREMENTS AND PROCEDURES. None.
6. REFERENCES. Office of Safeguards and Security "Guide for Implementation of the DOE Standard Badge," dated September 9, 1994.
7. DEFINITIONS. **Federal Building Complex** - this term includes the Federal Building; Buildings 2714-H, 2714-J, 1916-T2; and the Turnpike Building. This definition may change periodically due to the relocation of Federal employees and the reallocation of existing facilities to contractor personnel.
8. CONTRACTOR REQUIREMENTS DOCUMENT. See Contractor Requirements Document, Attachment 1 of this Chapter.
9. ATTACHMENTS.

Attachment 1 - Contractor Requirements Document.

**CONTRACTOR REQUIREMENTS DOCUMENT**

Contractors that are identified in paragraph 3 of this Chapter shall accomplish the following:

1. Using a graded approach, develop and implement a protection and control program that is consistent with DOE policy (paragraph 5) and program requirements (paragraph 7) of DOE 5632.1C; DOE M 5632.1C-1, Chapters I-XIV; and the provisions of this Chapter.
2. Establish and implement a security badge and credential system that is consistent with DOE policy and conforms to the new badge standards, designs, and specifications described in the DOE "Guide for Implementation of the DOE Standard Badge."
3. Establish and maintain programs to ensure that employees are aware of and comply with those responsibilities identified in DOE M 5632.1C-1, Chapter XIV, subparagraph 2b.
4. Prepare physical protection plans for unclassified irradiated reactor fuel in transit that conform to the requirements of DOE M 5632.1C-1, Chapter IV.
5. Provide appropriate safeguards and security related training to employees and ensure that they are made aware of and understand their responsibilities for protecting and controlling safeguards and security interests.
6. May perform those tasks assigned to "local authority" in DOE M 5632.1C-1.