



# U.S. Department of Energy

OAK RIDGE OPERATIONS

ORO O 470  
CHAPTER VII

Date: 5-15-96

**SUBJECT: PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS**

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1. PURPOSE. This Chapter correlates to DOE O 473.1 (formerly DOE 5632.1C), PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS, dated July 15, 1994, and DOE M 473.1-1 (formerly DOE M 5632.1C-1), MANUAL FOR PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS, dated July 15, 1994, by assigning responsibility and accountability and providing administrative and/or contractual guidance to Oak Ridge Operations (ORO), Office of Scientific and Technical Information (OSTI) and their contractors. Nothing in this issuance changes any requirements contained in any DOE Order.
2. CANCELLATION. This Chapter cancels and replaces ORIG 5632.1C, PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS, dated March 30, 1995.
3. APPLICABILITY. The provisions of this Chapter apply to ORO and OSTI Principal Staff, management & operating contractors, and other contractors and subcontractors that have access to or responsibility for classified matter, special nuclear materials, or other safeguards and security interests (e.g., vital equipment, property, facilities, and unclassified irradiated reactor fuel in transit).
4. RESPONSIBILITIES.
  - a. Director, Safeguards and Security Division.
    - (1) Establishes and maintains ORO guidelines and security procedures for the protection and control of classified matter, special nuclear material, or other safeguards and security interests.
    - (2) Performs those tasks assigned to "cognizant local Departmental authority for safeguards and security," and "Departmental elements" in DOE M 473.1-1. May perform those tasks assigned to "local authority" in DOE M 473.1-1.
    - (3) Performs those tasks identified in DOE O 473.1, subparagraphs 6a(1)(b), (c), (g)-(i), and (k); and 6i(2).

- b. Director, Waste Management and Technology Development Division, in coordination with Contracting Officers' Representatives, performs those tasks identified in DOE O 473.1, subparagraphs 6a(1)(j) and 6i(1).
  - c. Director, Information Resources Management Division, implements the Federal Building Complex Security Program for the physical protection of DOE property and facilities (see paragraph 7 of this Chapter).
  - d. Contracting Officers' Representatives perform those tasks identified in DOE O 473.1, subparagraphs 6a(1)(a), (d)-(f), and (l); and 6a(2)-(3).
5. REQUIREMENTS AND PROCEDURES. None.
  6. REFERENCES. Office of Safeguards and Security "Guide for Implementation of the DOE Standard Badge," dated September 9, 1994.
  7. DEFINITIONS. **Federal Building Complex** - this term includes the Federal Building; 3 Main Street; Buildings 2714-G, 2714-H, 2714-J, 2714-K, 1916-T2; and the Turnpike Building. This definition may change periodically due to the relocation of Federal employees and the reallocation of existing facilities to contractor personnel.
  8. CONTRACTOR REQUIREMENTS DOCUMENT. See Contractor Requirements Document, Attachment 1 of this Chapter.
  9. ATTACHMENTS.

Attachment 1 - Contractor Requirements Document.

**CONTRACTOR REQUIREMENTS DOCUMENT**

Contractors that are identified in paragraph 3 of this Chapter shall accomplish the following:

1. Using a graded approach, develop and implement a protection and control program that is consistent with DOE policy (paragraph 5) and program requirements (paragraph 7) of DOE O 473.1; DOE M 473.1-1, Chapters I-XIV; and the provisions of this Chapter.
2. Establish and implement a security badge and credential system that is consistent with DOE policy and conforms to the new badge standards, designs, and specifications described in the DOE "Guide for Implementation of the DOE Standard Badge."
3. Establish and maintain programs to ensure that employees are aware of and comply with those responsibilities identified in DOE M 473.1-1, Chapter XIV, subparagraph 2b.
4. Prepare physical protection plans for unclassified irradiated reactor fuel in transit that conform to the requirements of DOE M 473.1-1, Chapter IV.
5. Provide appropriate safeguards and security related training to employees and ensure that they are made aware of and understand their responsibilities for protecting and controlling safeguards and security interests.
6. May perform those tasks assigned to "local authority" in DOE M 473.1-1.