

DIRECTIVES CONTROL FORM - ORO FINAL DIRECTIVE

PART A (To be completed by the Division of Primary Interest (DPI))

1. **NUMBER AND TITLE OF DIRECTIVE:** **ORO O 470, Chapter II, Change 2, IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION**

2. **PURPOSE OF TRANSMITTAL:** New Directive Revised Directive

3. **THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS:** (Check appropriate boxes)

No (all contractors) Although the CRD attached to the correlating DOE Order is applicable to contractors, no additional contractor requirements are imposed in this chapter.

Yes If yes, whom? Bechtel Jacobs Co. BWXT Y-12 ORAU UT-Battelle SURA

Other contractors (list by type)

Many ORO contractors have approved S/RIDs or WSS sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

4. **SIGNIFICANT PROVISIONS:** Are there any significant changes or impact?

No Yes If yes, describe:

This ORO chapter is part of the ORO sunset review process. Changes to this chapter include minor editorial revisions to (1) indicate the correct correlating DOE Order (DOE M 471.1-1), (2) revise paragraph 4b to reflect current name, (3) deletions of 4b(2), and 4d(5), (4) update reference of NN-50 to SO-12, paragraph 4c(2), and (5) addition of new paragraph 4d(5).

5. CONTACT POINT:	Gabe Marciante	Office of Safeguards and Security, OS-20	576-0754
	Linda Eble	Office of Safeguards and Security, OS-20	576-6963
	Name	Organization	Telephone

PART B (To be completed by the Directives Management Group (DMG)):

6. **FILING INSTRUCTIONS:**

<u>Remove</u>	<u>Dated</u>	<u>Insert</u>	<u>Dated</u>
ORO Control Form	04/09/1999	ORO Control Form	07/01/2002
ORO O 470, Chapter II, Chg. 1, Pages II-1 thru II-2	04/09/1999	ORO O 470, Chapter II, Chg. 2, Pages II-1 thru II-3	07/01/2002

ORO Directives are available on the ORO Directives Management Home Page at http://www.ornl.gov/doe_oro_dmg/oro_dir.htm. The ORO Directives will no longer be mailed in printed copy unless you do not have Internet capabilities.

7. **APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH THE OFFICIAL DIRECTIVES DISTRIBUTION LIST:**

Original Signed By	
Wayne H. Albaugh	07/01/2002
Signature: DMG Team Leader, AD-440	Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED

Rev. 01/30/2002

U.S. Department of Energy

Oak Ridge Operations

ORO O 470
Chapter II
Change 2

DATE: 07/01/2002

SUBJECT: IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION

1. PURPOSE. This chapter correlates to DOE O 471.1A, IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION, dated June 30, 2000, and DOE M 471.1-1, Change 1, dated December 23, 2001, by assigning responsibility and accountability and providing administrative guidance to Oak Ridge Operations (ORO). Nothing in this issuance changes any requirements contained in any Department of Energy (DOE) directive.
2. CANCELLATION. This chapter cancels and replaces ORO O 470, Chapter II, Change 1, IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION, dated April 9, 1999.
3. APPLICABILITY. The provisions of this chapter apply to ORO Principal Staff. Although the Contractor Requirements Document (CRD) attached to DOE O 471.1A is applicable to contractors, no additional contractor requirements are imposed in this chapter.
4. RESPONSIBILITIES.
 - a. Oak Ridge Operations Manager serves as Denying Official and performs those tasks identified in DOE M 471.1-1, Chapter I, Part B, paragraph 4, dated June 30, 2000.
 - b. Director, Office of Safeguards and Security (OSS).
 - (1) Administers the ORO program for identification and protection of UCNI by developing and implementing ORO procedures that conform to the requirements of DOE M 471.1-1, Chapters II and III.
 - (2) Advises and assists ORO Principal Staff in carrying out their responsibilities pertaining to the identification and protection of UCNI.
 - c. Classification Officer.
 - (1) Is designated principal ORO Reviewing Official and performs those tasks identified in DOE M 471.1-1, Chapter I, Part B, subparagraphs 2b(1), 2c-d, and 3b-c.

- (2) Reviews UCNI Internal Guidelines developed by contractors and forwards them to SO-12 for approval.
- (3) Develops ORO UCNI Internal Guidelines and ensures that contractor classification officers receive copies of applicable approved UCNI general guidelines and topical guidelines.

d. Principal Staff.

- (1) Under whose supervision procurement requests are initiated, perform that task identified in DOE O 471.1, subparagraph 5f(3).
- (2) Establish procedures to ensure that their employees who originate or possess an unclassified document that may contain UCNI obtain a preliminary review determination in accordance with DOE M 471.1-1, Chapter I, Part B, subparagraph 3a.
- (3) Establish procedures that ensure compliance with DOE M 471.1-1, Chapter I, Part B, paragraph 7, relating to records retirement.
- (4) Refer requests for Special Access to the Director, OSS
- (5) Refer requests for approval to waive encryption requirements to the ORO Manager, or to the Director, OSS.

e. Reviewing Officials.

- (1) Performs those tasks identified in DOE M 471.1-1, Chapter I, Part B, subparagraphs 3b and e.
- (2) Provide assistance, as necessary, to program personnel in determining possible existence of UCNI.
- (3) Ensure that documents leaving their organization have been properly identified and marked regarding UCNI content (see DOE M 471.1-1, Chapter I, Part C for marking requirements).

5. REQUIREMENTS AND PROCEDURES. None.

6. REFERENCES.

(1) DOE M 471.1-1, through Change 1, IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION MANUAL, dated 06/30/2000, Change 1 dated 10/23/2001.

(2) DOE M 475.1-1A, IDENTIFYING CLASSIFIED INFORMATION, dated 05/08/1998.

7. DEFINITIONS. None.

8. CONTRACTOR REQUIREMENTS DOCUMENT. None.

9. ATTACHMENTS. None