

DIRECTIVES CONTROL FORM - ORO O FINAL DIRECTIVE

PART A (To be completed by the Division of Primary Interest (DPI))

1. **NUMBER AND TITLE OF DIRECTIVE:** **ORO O 470, Chapter II, Chg. 1, IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION**

2. **PURPOSE OF TRANSMITTAL:** New Directive Revised Directive Page Change

3. **THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS:** (Check appropriate boxes)

No (all contractors) Although the CRD attached to the correlating DOE Order is applicable to contractors, no additional contractor requirements are imposed in this Chapter.

Yes If yes, whom? LMES LMER ORAU SURA
 Bechtel Jacobs Company
 Other contractors (list by type)

Many ORO contractors have approved S/RIDs or WSS sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

4. **SIGNIFICANT PROVISIONS:** Are there any significant changes or impact?

No Yes If yes, describe: This ORO Chapter is part of the ORO sunset review process. Changes to this Chapter include minor editorial revisions to clarify subparagraphs 4b(1) and (3) and to correct the subparagraph of the DOE Order referenced in subparagraph 4d(2). To reflect current policy, subparagraph 4d(5) is added and the term "Authorized Classifiers" is deleted from subparagraph 4e.

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PART B (To be completed by the Directives Management Group (DMG)):

6. **FILING INSTRUCTIONS:**

<u>Remove</u>	<u>Dated</u>	<u>Insert</u>	<u>Dated</u>
ORO O 470, Chapter II Pages II-1 and II-2	12/30/1996	ORO O Control Form ORO O 470, Chapter II, Chg. 1, Pages II-1 and II-2	04/09/1999 04/09/1999

ORO Orders are available on the ORO Directives Management Home Page [http://www.ornl.gov/doe_oro_dmg/orchklst.htm] within 5-10 working days after receipt of this Control Form. The ORO Orders will no longer be mailed in printed copy unless you do not have Internet capabilities.

7. **APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH THE OFFICIAL DIRECTIVES DISTRIBUTION LIST:**

Original signed by

Jennifer H. Cusick, AD-440
Signature Management Analyst, AD-440

04/09/1999
Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED

Rev. 08/04/1998

U.S. Department of Energy

Oak Ridge Operations

ORO O 470 Chapter II Change 1

DATE: 04/09/1999

SUBJECT: IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION

1. PURPOSE. This Chapter correlates to DOE O 471.1, IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION, dated September 25, 1995, by assigning responsibility and accountability and providing administrative guidance to Oak Ridge Operations (ORO). Nothing in this issuance changes any requirements contained in any DOE Order.
2. CANCELLATION. This Chapter cancels and replaces ORO O 470, Chapter II, IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION, dated December 30, 1996.
3. APPLICABILITY. The provisions of this Chapter apply to ORO and OSTI Principal Staff. Although the Contractor Requirements Document (CRD) attached to DOE O 471.1 is applicable to contractors, no additional contractor requirements are imposed in this Chapter.
4. RESPONSIBILITIES.
 - a. Manager serves as Denying Official and performs those tasks identified in DOE O 471.1, Chapter I, Part B, paragraph 5.
 - b. Director, Safeguards and Security Division.
 - (1) Administers the ORO program for identification and protection of UCNI by developing and implementing ORO procedures that conform to the requirements of DOE O 471.1, Chapters II and III.
 - (2) Performs those tasks identified in DOE O 471.1, subparagraph 5f(1) and (2).
 - (3) Advises and assists ORO Principal Staff in carrying out their responsibilities pertaining to the identification and protection of UCNI.
 - c. Classification Officer.
 - (1) Is designated principal ORO Reviewing Official and performs those tasks identified in DOE O 471.1, Chapter I, Part B, subparagraphs 2b(1), 2c-d, and 3b-c.
 - (2) Reviews UCNI Internal Guidelines developed by contractors and forwards them to NN-50 for approval.

- (3) Develops ORO UCNI Internal Guidelines and ensures that contractor classification officers receive copies of applicable approved UCNI general guidelines and topical guidelines.

d. Principal Staff.

- (1) Under whose supervision procurement requests are initiated, perform that task identified in DOE O 471.1, subparagraph 5f(3).
- (2) Establish procedures to ensure that their employees who originate or possess an unclassified document that may contain UCNI obtain a preliminary review determination in accordance with DOE O 471.1, Chapter I, Part B, subparagraph 3a.
- (3) Establish procedures that ensure compliance with DOE O 471.1, Chapter I, Part B, paragraph 9, relating to records retirement.
- (4) Refer requests for Special Access and recommendations for imposition of any civil penalty or criminal action to SSD for appropriate action.
- (5) Ensure compliance with DOE O 474.1, Chapter II.

e. Reviewing Officials.

- (1) Performs those tasks identified in DOE O 471.1, Chapter I, Part B, subparagraphs 3b, d, and e.
- (2) Provide assistance, as necessary, to program personnel in determining possible existence of UCNI.
- (3) Ensure that documents leaving their organization have been properly identified and marked regarding UCNI content (see DOE O 471.1, Chapter I, Part C for marking requirements).

5. REQUIREMENTS AND PROCEDURES. None.
6. REFERENCES. None.
7. DEFINITIONS. None.
8. CONTRACTOR REQUIREMENTS DOCUMENT. None.
9. ATTACHMENTS. None.