

DIRECTIVES CONTROL FORM - ORO O FINAL DIRECTIVE

PART A (To be completed by the Division of Primary Interest (DPI))

1. **NUMBER AND TITLE OF DIRECTIVE:** **ORO O 470, Chapter I, Chg. 1, SAFEGUARDS AND SECURITY PROGRAM**

2. **PURPOSE OF TRANSMITTAL:** New Directive Revised Directive Page Change

3. **THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS:** (Check appropriate boxes)

No (all contractors)

Yes If yes, whom? LMES LMER ORAU SURA

Bechtel Jacobs Company

Other contractors (list by type) All, except facilities and activities regulated by NRC.

Many ORO contractors have approved S/RIDs or WSS sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

4. **SIGNIFICANT PROVISIONS:** Are there any significant changes or impact?

No Yes If yes, describe: This ORO Chapter is part of the ORO sunset review process. Changes to this Chapter include minor editorial revisions to ensure that the Chapter conforms to the current ORO Directives format and to indicate the current organizational title for the OSTI Assistant Manager for Resource Management in subparagraph 4b.

5. **CONTACT POINT:** George Blanchard Safeguards and Security Division, AD-43 576-2265
Name Organization Telephone

PART B (To be completed by the Directives Management Group (DMG)):

6. **FILING INSTRUCTIONS:**

<u>Remove</u>	<u>Dated</u>	<u>Insert</u>	<u>Dated</u>
ORO O 470, Chapter I	09/30/1996	ORO O Control Form	04/16/1999
Pages I-1 through I-4		ORO O 470, Chapter I, Chg. 1, Pages I-1 through I-3	04/16/1999

ORO Orders are available on the ORO Directives Management Home Page [http://www.ornl.gov/doe_oro_dmg/orchklst.htm] within 5-10 working days after receipt of this Control Form. The ORO Orders will no longer be mailed in printed copy unless you do not have Internet capabilities.

7. **APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH THE OFFICIAL DIRECTIVES DISTRIBUTION LIST:**

Original signed by

Jennifer H. Cusick, AD-440
Signature Management Analyst, AD-440

04/16/1999
Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED
Rev. 08/04/1998

U.S. Department of Energy

Oak Ridge Operations

ORO O 470 Chapter I Change 1

DATE: 04/16/1999

SUBJECT: SAFEGUARDS AND SECURITY PROGRAM

1. PURPOSE. This Chapter correlates to DOE O 470.1, SAFEGUARDS AND SECURITY PROGRAM, dated September 28, 1995, by assigning responsibility and accountability and providing administrative and/or contractual guidance to Oak Ridge Operations (ORO), the Office of Scientific and Technical Information (OSTI), and their contractors. Nothing in this issuance changes any requirements contained in any DOE Order.
2. CANCELLATION. This Chapter cancels and replaces ORO O 470, Chapter I, SAFEGUARDS AND SECURITY PROGRAM, dated September 30, 1996.
3. APPLICABILITY. The provisions of this Chapter apply to ORO and OSTI Principal Staff, management and operating (M&O) contractors, and other contractors and subcontractors performing work involving access to or responsibility for classified facilities, classified information, or special nuclear materials as provided by law and/or contract and as implemented by the appropriate contracting officer.
4. RESPONSIBILITIES.
 - a. Director, Safeguards and Security Division (SSD).
 - (1) Performs those tasks identified in DOE O 470.1, subparagraphs 5k(1)(a)-(d) and (g)-(1); and 5k(2).
 - (2) Performs those tasks assigned to "lead responsible office" in DOE O 470.1, Chapters V-X.
 - (3) Serves as the primary point of contact for deviation requests in accordance with DOE O 470.1, subparagraph 4f.
 - b. Assistant Manager for Resource Management, OSTI, who serves as the OSTI Security Manager, appoints a Security Education Coordinator who is responsible for the implementation of the requirements of DOE O 470.1 and the provisions of this Order.
 - c. Office of Chief Counsel provides advice on FOCI issues as needed.
 - d. Director, Procurement and Contracts Division, performs that task identified in DOE O 470.1, subparagraph 5k(1)(e).

- e. Director, Planning and Budget Division.
 - (1) In coordination with the Contracting Officer's Representatives, makes appropriate funding arrangements for necessary equipment, as required in DOE O 470.1, subparagraph 5k(2)(e).
 - (2) Coordinates preparation of the annual budget formulation request for the safeguards and security crosscut and ensures review by ORO staff before submission to Headquarters.
- f. Principal Staff.
 - (1) Under whose supervision procurement requests are initiated, perform those tasks identified in DOE O 470.1, subparagraph 5m.
 - (2) With concurrence of SSD, approve variances and waivers consistent with the criteria of DOE O 470.1, subparagraphs 4f(1) and (2).
 - (3) Recommend approval of and submit to SSD any exception under DOE O 470.1, subparagraph 4f(3).
- g. Contracting Officer's Representatives.
 - (1) Develop, validate, and submit to SSD for approval security plans or Site Safeguards and Security Plans in accordance with the requirements of DOE O 470.1, Chapter I, paragraph 4.
 - (2) When necessary, submit exception requests to SSD in accordance with the requirements of DOE O 470.1, subparagraph 4f(3).
 - (3) Perform those tasks identified in DOE O 470.1, subparagraph 5j; and Chapter V, subparagraph 7b(2).
- 5. REQUIREMENTS AND PROCEDURES. None.
- 6. REFERENCES. None.
- 7. DEFINITIONS. None.
- 8. CONTRACTOR REQUIREMENTS DOCUMENT. See Contractor Requirements Document, Attachment 1 of this Chapter.
- 9. ATTACHMENTS.

Attachment 1 - Contractor Requirements Document.

CONTRACTOR REQUIREMENTS DOCUMENT

Contractors identified in paragraph 3 of this Chapter will accomplish the following, to the extent set forth in their contract:

1. Develop a site-specific safeguards and security program that is consistent with DOE objectives (DOE O 470.1, paragraph 1) and program requirements (DOE O 470.1, Attachment 1).
2. Ensure that all consultants and subcontractors who hold access authorizations attend all security briefings.
3. Comply with the local implementation procedures developed for the FOCI program by the Director, ORO SSD, in accordance with DOE O 470.1, subparagraph 5k(1)(g); and subparagraph 4a(1) of this Chapter.