

ORO CONTROL FORM - FINAL DIRECTIVE

PART A (To be completed by the Division of Primary Interest (DPI))

1. **NUMBER AND TITLE OF DIRECTIVE:** **ORO O 470, Chapter XI, Change 3, SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES**
2. **PURPOSE OF TRANSMITTAL:** New Directive Revised Directive
3. **THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS:** (Check appropriate boxes)
- No (all contractors)
- Yes If yes, whom? Bechtel Jacobs Co. ORAU UT-Battelle ISOTEK (Bldg. 3019, ORNL)
- Other contractors (list by type) All other contractors and subcontractors having access to FII, including SCI.

Many ORO contractors have approved Standards/Requirements Identification Documents (S/RIDs) or Work Smart Standards (WSS) Sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

4. **SIGNIFICANT PROVISIONS:** Are there any significant changes or impacts? No Yes
If yes, describe: This ORO Chapter is part of the ORO sunset review process. Changes to this Chapter include (1) update titles in Paragraphs 4a(2), 4b, and 4b(1); (2) revise Paragraphs 4c(2) and (3); (3) add new Paragraphs 4c(4) and (5); (4) update References, Paragraph 6; and (5) revise Paragraph 2 of the CRD.
5. **CONTACT POINT:** Linda Eble Safeguards, Security, & Emergency Mgmt, OS-20 576-6963
Name Organization Telephone

PART B (To be completed by the Directives Management Group (DMG)):

6. **FILING INSTRUCTIONS:**
- | <u>Remove</u> | <u>Dated</u> | <u>Insert</u> | <u>Dated</u> |
|---------------------------|--------------|---------------------------|--------------|
| ORO Control Form | 07/01/2002 | ORO Control Form | 03/02/2005 |
| ORO O 470, Ch. XI, Chg. 2 | 07/01/2002 | ORO O 470, Ch. XI, Chg. 3 | 03/02/2005 |

ORO Directives are available on the ORO Directives Management Group Home Page at http://www.ornl.gov/doe/doe_oro_dmg/oro_dir.htm. The ORO Directives will no longer be mailed in printed copy unless you do not have Internet capabilities.

7. **APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH THE OFFICIAL DIRECTIVES DISTRIBUTION LIST:**

Original Signed By
Wayne H. Albaugh 03/02/2005
Signature: DMG Team Leader, AD-440 Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED

U.S. Department of Energy

Oak Ridge Operations

ORO O 470 Chapter XI Change 3

DATE: 03/02/2005

**SUBJECT: SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE
COMPARTMENTED INFORMATION FACILITIES**

1. **PURPOSE.** This Chapter correlates to DOE 5639.8A, SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES, dated July 23, 1993, by assigning responsibility and accountability and providing administrative and/or contractual guidance to Oak Ridge Operations (ORO) and its contractors. Nothing in this issuance changes any requirements contained in any Department of Energy (DOE) Directive.
2. **CANCELLATION.** This Chapter cancels and replaces ORO O 470, Chapter XI, Change 2, SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES, dated July 1, 2002.
3. **APPLICABILITY.** The provisions of this Chapter apply to ORO and its contractors and subcontractors who perform work for the Department that requires access, receipt, storage, processing, and/or handling of Foreign Intelligence Information (FII), including Sensitive Compartmented Information (SCI).
4. **RESPONSIBILITIES.**
 - a. **Chief Operating Officer (COO), ORO.**
 - (1) Serves as the Senior ORO Intelligence Operation and Control Official.
 - (2) Delegates approval authority to the Assistant Manager for Safeguards, Security, and Emergency Management (AMSSEM), for requests for SCI access from ORO employees, contractors, and subcontractors.
 - b. **Assistant Manager for Safeguards, Security, and Emergency Management, ORO.**
 - (1) Adjudicates authorizations requests for SCI access for ORO employees, contractors, and subcontractors.
 - (2) Performs those tasks identified in DOE 5639.8A, subparagraphs 8f(2)-(8), as directed by COO.
 - (3) Provides the COO with a listing of all SCI-cleared personnel within ORO, as requested.

c. Principal Staff; and Director, Field Intelligence Element.

- (1) Establish, manage, and operate facilities that receive, store, process, and disseminate FII, including SCI, in a manner that conforms to the requirements of DOE 5639.8A.
- (2) Report discovery of any incident or security-related situation that could be construed as involving a compromise, possible compromise, or suspected compromise of FII, including SCI, in accordance with DOE O 471.4, INCIDENTS OF SECURITY CONCERN.
- (3) Perform those tasks identified in DOE 5639.8A, subparagraph 8b(2), when procurement requests are initiated under their supervision.
- (4) Special Security Officer, FIE, ensures all required information and need-to-know justification is included in each request for SCI access prior to submission to Director, Office of Intelligence IN-1, HQ, for approval.
- (5) Ensure need-to-know justification is valid for individuals accessing FII and SCI information on an annual basis.

d. Contracting Officer's Representatives.

- (1) Perform those tasks identified in DOE 5639.8A, paragraph 8b(1).
- (2) Ensure that any deficiencies noted as a result of ORO, or HQ surveys/inspections are addressed with corrective actions designed to meet FII management, control, and security requirements.

5. REQUIREMENTS AND PROCEDURES. None.

6. REFERENCES.

- a. Executive Order 12333, UNITED STATES INTELLIGENCE ACTIVITIES, dated December 4, 1981.
- b. DOE O 471.4, INCIDENTS OF SECURITY CONCERN, dated March 17, 2004.

7. DEFINITIONS. **FIELD INTELLIGENCE ELEMENT (FIE)** is an element of ORO or of any ORO contractor that has been recognized in writing by IN-1 as an extension of DOE HQ that performs unique and critical FII operations in direct support of the Department's intelligence mission as defined in Executive Order 12333. The FIE's mission may require interface with Intelligence Community agencies.

8. CONTRACTOR REQUIREMENTS DOCUMENT. See Contractor Requirements Document, Attachment 1 of this Chapter.

9. ATTACHMENTS.

- a. Attachment 1 - Contractor Requirements Document.

CONTRACTOR REQUIREMENTS DOCUMENT

Contractors identified in Paragraph 3 of this Chapter will accomplish the following, to the extent set forth in their contract:

1. Establish, manage, and operate facilities which receive, store, process, and disseminate Foreign Intelligence Information (FII), including Sensitive Compartmented Information (SCI), in a manner that conforms to the requirements of DOE 5639.8A.
2. Report discovery of any incident or security-related situation that could be construed as involving a compromise, possible compromise, or suspected compromise of FII, including SCI, in accordance with DOE O 471.4.