

DIRECTIVES CONTROL FORM - ORO FINAL DIRECTIVE

PART A (To be completed by the Division of Primary Interest (DPI))

1. NUMBER AND TITLE OF DIRECTIVE: **ORO O 420, Chapter XIV, DELEGATION OF APPROVAL AUTHORITY FOR SAFETY BASIS DOCUMENTS**

2. PURPOSE OF TRANSMITTAL: New Directive Revised Directive

3. THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS: (Check appropriate boxes)

No (all contractors)

Yes If yes, whom? Bechtel Jacobs Co. BWXT Y-12 ORAU UT-Battelle SURA

Other contractors (list by type)

Many ORO contractors have approved S/RIDs or WSS sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

4. SIGNIFICANT PROVISIONS: Are there any significant changes or impact?

No Yes If yes, describe: This new chapter is part of the ORO O 420 series. This chapter cancels and replaces the nuclear delegations outlined in ORO O 420, Chapter V, Change 3, DELEGATION OF APPROVAL AUTHORITY FOR DOE 5480.21, 5480.22, 5480.23 and 5481.1B, dated July 28, 1999. The delegations for non-nuclear facilities remain in effect per the current revision of Chapter V.

5. CONTACT POINT: Jorge Ferrer Nuclear Safety Division, SE-33 576-6638
Name Organization Telephone

PART B (To be completed by the Directives Management Group (DMG)):

6. FILING INSTRUCTIONS:

<u>Remove</u>	<u>Dated</u>	<u>Insert</u>	<u>Dated</u>
N/A		ORO Control Form	07/24/2002
		ORO O 420, Chapter XIV, Pages XIV-1 thru XIV-4	07/24/2002

ORO Directives are available on the ORO Directives Management Home Page at http://www.ornl.gov/doe_oro_dmg/oro_dir.htm. The ORO Directives will no longer be mailed in printed copy unless you do not have Internet capabilities.

7. APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH THE OFFICIAL DIRECTIVES DISTRIBUTION LIST:

Original Signed By
Wayne H. Albaugh 07/24/2002
Signature: DMG Team Leader, AD-440 Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED

Rev. 01/30/2002

U.S. Department of Energy

Oak Ridge Operations

ORO O 420
Chapter XIV

DATE: 07/24/2002

SUBJECT: DELEGATION OF APPROVAL AUTHORITY FOR SAFETY BASIS DOCUMENTS

1. PURPOSE. This chapter correlates to approval authority for safety basis documents prepared in accordance with Title 10 Code of Federal Regulations (CFR) Part 830, NUCLEAR SAFETY MANAGEMENT, Subpart B. The purpose of this chapter is to establish overall guidance and administrative controls and assign responsibility to Oak Ridge Operations (ORO) related to the delegation of approval authority by Headquarters (HQ) to the Manager and further delegations by the Manager to subordinate levels within ORO. Nothing in this issuance changes any requirements in any Department of Energy (DOE) directive.
2. CANCELLATION. This chapter cancels and replaces the nuclear delegations outlined ORO O 420, Chapter V, Change 3, DELEGATION OF APPROVAL AUTHORITY FOR DOE 5480.21, 5480.22, 5480.23, and 5481.1B, dated July 28, 1999. The delegations for non-nuclear facilities remain in effect per the current revision of Chapter V.
3. APPLICABILITY. This chapter applies to ORO Principal Staff.
4. RESPONSIBILITIES.
 - a. ORO Manager.
 - (1) As delegated by the Cognizant Secretarial Officer (CSO), approves safety documentation where delegation to subordinate levels of ORO is not authorized.
 - (2) Initiates/approves requests to the CSO for delegation of approval authority or approval of delegation to subordinate levels of ORO.
 - b. Assistant Managers/Site Office Managers (where designated)
 - (1) Approve safety documentation where authorized and/or approved by the CSO and formally documented by the Manager (see Attachment 1 of this chapter).
 - (2) Review general areas of responsibility on a continuing basis to identify the need for future requests to the CSO for approval of additional delegation of approval authority. This need may result from lack of prior authorization by the CSO for new facilities or modification of activities within existing facilities.

- c. Director, Nuclear Safety Division (NSD). Serves as the focal point within ORO for monitoring and evaluating subsequent direction from the CSO concerning additional delegation of approval authority.
 - d. Contracting Officer's Representatives.
 - (1) Review general areas of responsibility on a continuing basis to identify the need for future requests to the CSO for approval of additional delegation of approval authority. This need may result from lack of prior authorization by the CSO for new facilities or modification of activities within existing facilities.
 - (2) Inform the Directives Management Group and the NSD of any future memorandums that add to or alter the delegations of approval authority contained herein.
 - e. Team Leader, Directives Management Group, maintains copies of all the authorization memorandums from HQ's and internal memorandums covering delegations within ORO.
5. REQUIREMENTS AND PROCEDURES. None.
6. REFERENCES.
- a. ORO M 411.1-1D, MANUAL OF SAFETY MANAGEMENT FUNCTIONS, RESPONSIBILITIES, AND AUTHORITIES, LEVEL II, FOR OAK RIDGE OPERATIONS, October 31, 2000, and any subsequent revisions
7. DEFINITIONS.
- a. Approval Authority. The person or organization that has been granted authority by the Cognizant Secretarial Officer to approve safety basis documents prepared in accordance with requirements in 10 CFR 830, Subpart B.
8. CONTRACTOR REQUIREMENTS DOCUMENT. None.
9. ATTACHMENTS.

Attachment 1 - Matrix of Approval Authority for Safety Basis Documentation at ORO.

MATRIX OF APPROVAL AUTHORITY FOR SAFETY BASIS DOCUMENTATION AT ORO

FACILITY HAZARD CATEGORY	NNSA	EM	SC	NE
Nuclear	-----	-----	-----	-----
1	Not delegated to ORO	Not delegated to ORO	Not delegated to ORO	Not delegated to ORO
2	Delegated Bldg. 3019 at ORNL to ORO/AML (Rhodes to AM for Energy Research and Development: 07/27/1995)	Not delegated to ORO (Roberson to Dever: 10/18/2001)	Delegated to ORO Manager (Decker to Associate Directors, Office Directors and Managers dated 06/30/2000, subject ASC FRAM@) (Krebs to ORO Manager, SNS Project Execution Plan, Appendix A 10/14/1999)	Not delegated to ORO (Marcus to Those Listed dated 11/21/2001, subject ANE FRAM@)
3	Not Applicable	Not delegated to ORO (Roberson to Dever: 10/18/2001)	Delegated to ORO Manager; ORO Manager delegated to ORNL SO Manager (Decker to Associate Directors, Office Directors and Managers dated 06/30/2000, subject ASC FRAM@) (Cumesty to Hall: 06/15/1995)	Delegated to ORO Manager; ORO Manager delegated to ORNL SO Manager (Marcus to Those Listed dated 11/21/2001, subject ANE FRAM@) (Cumesty to Hall: 11/19/1997)

FACILITY HAZARD CATEGORY	NNSA	EM	SC	NE
Radiological (<Cat 3 Nuclear)	Not Applicable	Delegated to ORO Manager; ORO Manager delegated to AMEM; AMEM delegated to Contractor Mgt. (Roberson to Dever: 03/08/2002) (Fritz to Clay, 02/27/2002)	Not applicable	Not applicable
Transportation	Delegated to Y-12 Area Office Manager, per ORO O 460 Ch. III	ORO Manager per DOE Order 460.1A	ORO Manager delegated to AML (Holland to Malosh, 05/02/2002)	Not applicable