

**CONTROL FORM FOR DOE/ORO DIRECTIVE**

**PART A (To be completed by the ORO DIRECTIVES MANAGEMENT GROUP, AD-440):**

**1. DIRECTIVE NUMBER, TITLE, AND DATE:**

ORO O 220, REVISION 5, ASSESSMENTS, dated 07/19/2006

**2. PURPOSE OF TRANSMITTAL:**  New Directive  Revised Directive  Revised Control Form

**3. DOES THIS DIRECTIVE CANCEL OR EXTEND ANY OTHER DIRECTIVES?**  Yes  No  
**If "Yes,"** list what action (cancel or extend) and list the Directive(s) number(s), title(s), and date(s):

This Revision cancels and replaces ORO O 220, Revision 4, ASSESSMENTS, dated 09/20/2004.

The attached Directive is forwarded for review and action. Complete Part B and forward a completed hard copy of this form to ORO DMG, AD-440, by 07/20/2006.

**PART B (To be completed by the DIVISION OF PRIMARY INTEREST [DPI]):**

**COMPLETE FOR DOE DIRECTIVES ONLY:**

**4. SUPPLEMENTAL ORO DIRECTIVE REQUIRED?**

Is a new or revised supplemental ORO Directive required?  Yes  No

**If "Yes,"** target date for submission of supplemental ORO Directive is \_\_\_\_\_.

**COMPLETE FOR BOTH DOE AND ORO DIRECTIVES:**

**5. CONTRACTOR APPLICABILITY.**

**SEE INDIVIDUAL CHAPTERS**

Does this Directive affect the work performed by ORO Contractors?  Yes  No

**If "Yes,"** whom?  Bechtel Jacobs Company LLC  ORAU

UT-Battelle, LLC  ISOTEK (Bldg. 3019, ORNL)

Foster Wheeler (FWENC)

Other Contractors (list by name)

**6. SUBJECT MATTER EXPERT: SEE INDIVIDUAL CHAPTERS**

Name

Organization

*Original Signed By  
Jennifer Hamilton For*

**7. APPROVED BY:** Wayne H. Albaugh, Team Leader, Directives Management Group, AD-440 07/19/2006

Signature

Organization

Date

**PART C (To be completed by the ORO DIRECTIVES MANAGEMENT GROUP, AD-440):**

**APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH OFFICIAL DIRECTIVE DISTRIBUTION LIST:**

*Original Signed By  
Jennifer Hamilton For  
Wayne H. Albaugh*

07/19/2006

DMG Team Leader, AD-440

Date

**INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED.**

(Revised 02/10/2006)

# U.S. Department of Energy

Oak Ridge Office

## **ORDER**

ORO O 220  
Revision 5

**DATE: 07/19/2006**

### **SUBJECT: ASSESSMENTS**

---

1. PURPOSE. This Directive provides detailed requirements for the Oak Ridge Office (ORO) activities under Series 220, **ASSESSMENTS**, by assigning responsibility and accountability and providing administrative and/or contractual guidance to ORO and its contractors.
2. CANCELLATION. This revision cancels and replaces ORO O 220, Revision 4, **ASSESSMENTS**, dated September 20, 2004.
3. SIGNIFICANT CHANGES. This Directive incorporates as Chapters in the 220 Series the current ORO Directives in Paragraph 4 below.
4. SUMMARY.
  - a. This revision to ORO O 220 transmits the following new Chapter and Manual.
    - (1) ORO O 220, Chapter XII, **PROJECT SAFETY OVERSIGHT PLANS**, dated June 26, 2006.
    - (2) ORO M 220.1, **OAK RIDGE OFFICE ASSESSMENT MANUAL**, dated June 20, 2006.
  - b. This revision to ORO O 220 transmits the following canceled Chapter.
    - (1) ORO O 220, Chapter XI, **OAK RIDGE OPERATIONS ASSESSMENT PROGRAM**, dated June 1, 2004.
  - c. This revision to ORO O 220 transmits the following revised Chapters.
    - (1) Chapter I, Change 4, **REPORTING FRAUD, WASTE, AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL**, dated April 29, 2005.
    - (2) Chapter II, Change 4, **COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL**, dated September 6, 2005.
    - (3) Chapter III, Change 5, **ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS**, dated May 2, 2006.
    - (4) Chapter IV, Change 4, **COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES**, dated April 29, 2005.

- (5) Chapter VII, Change 4, AUDIT RESOLUTION AND FOLLOWUP, dated April 29, 2005.
- (6) Chapter IX, Change 4, AUDITING OF PROGRAMS AND OPERATIONS, dated August 8, 2005.
- (7) Chapter X, Change 2, ACCIDENT INVESTIGATIONS, dated June 27, 2006.

For information on applicability and assignment of responsibility, refer to these individual chapters.

- 4. CONTRACTOR REQUIREMENTS DOCUMENT. For contractor requirements, refer to the attachments to the Chapters listed in Paragraph 4 above.

*Original Signed By  
Jennifer Hamilton For*

Wayne H. Albaugh, Team Leader  
Directives Management Group

**TABLE OF CONTENTS**

**CHAPTER I - REPORTING FRAUD, WASTE, AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL (correlates to DOE O 221.1)**

**CHAPTER II - COOPERATION WITH THE OFFICE OF THE INSPECTOR GENERAL (correlates to DOE O 221.2)**

**CHAPTER III - ESTABLISHMENT OF DEPARTMENTAL POSITION ON INSPECTOR GENERAL REPORTS (correlates to DOE O 221.3)**

**CHAPTER IV - COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES correlates to DOE 2340.1C)**

**CHAPTER V - RESERVED**

**CHAPTER VI - RESERVED**

**CHAPTER VII - AUDIT RESOLUTION AND FOLLOWUP (correlates to DOE O 224.3)**

**CHAPTER VIII - RESERVED**

**CHAPTER IX - AUDITING OF PROGRAMS AND OPERATIONS (correlates to DOE O 224.2)**

**CHAPTER X - ACCIDENT INVESTIGATIONS (correlates to DOE O 225.1A)**

**CHAPTER XI - RESERVED**

**CHAPTER XII - PROJECT SAFETY OVERSIGHT PLANS (Correlates to DOE O 226.1)**

**ORO M 220.1 - OAK RIDGE OFFICE ASSESSMENT MANUAL**