

ORO CONTROL FORM - FINAL DIRECTIVE

PART A (To be completed by the Division of Primary Interest (DPI))

1. **NUMBER AND TITLE OF DIRECTIVE:** **ORO O 220, Chapter IX, Change 4, AUDITING OF PROGRAMS AND OPERATIONS**

2. **PURPOSE OF TRANSMITTAL:** New Directive Revised Directive

3. **THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS:** (Check appropriate boxes)

No (all contractors)

Yes If yes, whom? Bechtel Jacobs Co. ORAU UT-Battelle ISOTEK (Bldg. 3019, ORNL)

Other contractors (list by type)

Many ORO contractors have approved Standards/Requirements Identification Documents (S/RIDs) or Work Smart Standards (WSS) Sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

4. **SIGNIFICANT PROVISIONS:** Are there any significant changes or impacts? No Yes
If yes, describe: This ORO Chapter is part of the ORO sunset review process. Changes were made to set a new sunset review date.

5. **CONTACT POINT:** Jeffrey Payne Financial Evaluation & Accountability Div., FM-73 576-9653
Name Organization Telephone

PART B (To be completed by the Directives Management Group (DMG)):

6. **FILING INSTRUCTIONS:**

<u>Remove</u>	<u>Dated</u>	<u>Insert</u>	<u>Dated</u>
ORO Control Form	09/19/2003	ORO Control Form	08/08/2005
ORO O 220, Ch. IX, Chg. 3	09/19/2003	ORO O 220, Ch. IX, Chg. 4	08/08/2005

ORO Directives are available on the ORO Directives Management Group Home Page at http://www.ornl.gov/doe/doe_oro_dmg/oro_dir.htm. The ORO Directives will no longer be mailed in printed copy unless you do not have Internet capabilities.

7. **APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH THE OFFICIAL DIRECTIVES DISTRIBUTION LIST:**

Original Signed By
Wayne H. Albaugh 08/08/2005
Signature: DMG Team Leader, AD-440 Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED

Rev. 06/14/2004

U.S. Department of Energy

Oak Ridge Office

ORO O 220 Chapter IX Change 4

DATE: 08/08/2005

SUBJECT: AUDITING OF PROGRAMS AND OPERATIONS

1. PURPOSE. This Chapter correlates to DOE O 224.2, AUDITING OF PROGRAMS AND OPERATIONS, dated March 22, 2001, by assigning responsibility and accountability and providing administrative and/or contractual guidance to Oak Ridge Office (ORO) and the National Nuclear Security Administration Y-12 Site Office (YSO), and their contractors. Nothing in this issuance changes any requirements contained in any Department of Energy (DOE) Directive.
2. CANCELLATION. This Chapter cancels and replaces ORO O 220, Chapter IX, Change 3, AUDITING OF PROGRAMS AND OPERATIONS, dated September 19, 2003.
3. APPLICABILITY. The provisions of this Chapter apply to ORO and YSO Principal Staff.
4. RESPONSIBILITIES.
 - a. Director, Financial Evaluation and Accountability Division.
 - (1) Maintains a Management Review Program and evaluates programs and projects under ORO and YSO cognizance to determine their status, improve operations, and determine the adequacy of the management of DOE resources.
 - (2) Provides for effective coordination among ORO and YSO Management Review Programs, the operating contractors' internal audit staffs, and the Inspector General (IG).
 - (3) Provides support to the procurement process, as necessary, including conducting preaward audits and/or making arrangements for both preaward and postaward audits with the IG or other cognizant Federal audit agencies.
 - (4) Evaluates the adequacy of coverage, technical competence, objectivity, and independence of audits conducted by internal auditors of DOE operating contractors.
5. REQUIREMENTS AND PROCEDURES. None.
6. REFERENCES. None.
7. DEFINITIONS. None.
8. CONTRACTOR REQUIREMENTS DOCUMENT. None.
9. ATTACHMENTS. None.