

DIRECTIVES CONTROL FORM - ORO O FINAL DIRECTIVE

PART A (To be completed by the Division of Primary Interest (DPI))

1. **NUMBER AND TITLE OF DIRECTIVE:** **ORO O 220, Chapter IX, Chg. 2, AUDITING OF PROGRAMS AND OPERATIONS**

2. **PURPOSE OF TRANSMITTAL:** New Directive Revised Directive Page Change

3. **THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS:** (Check appropriate boxes)

No (all contractors)

Yes If yes, whom? BWXT Y-12 UT-B ORAU SURA

Bechtel Jacobs Company

Other contractors (list by type)

Many ORO contractors have approved S/RIDs or WSS sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

4. **SIGNIFICANT PROVISIONS:** Are there any significant changes or impact?

No Yes If yes, describe: This chapter is part of the ORO sunset review process. Changes include minor editorial revisions to indicate the correct number of the current correlating DOE order (DOE O 224.2). To reflect current responsibilities, paragraphs 1 and 3, and subparagraphs 4a and 4b have been revised.

5. **CONTACT POINT:** Mitchell Kennedy Financial Review Team, FM-731 576-0867
Name Organization Telephone

PART B (To be completed by the Directives Management Group (DMG)):

6. **FILING INSTRUCTIONS:**

<u>Remove</u>	<u>Dated</u>	<u>Insert</u>	<u>Dated</u>
ORO O 220, Chapter IX, Chg. 1, Page IX-1	01/21/1999	ORO O Control Form ORO O 220, Chapter IX, Chg. 2, Page IX-1	09/28/2001 09/28/2001

ORO Orders are available on the ORO Directives Management Home Page at http://www.ornl.gov/doe_oro_dmg/orchklst.htm. The ORO Orders will no longer be mailed in printed copy unless you do not have Internet capabilities.

7. **APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH THE OFFICIAL DIRECTIVES DISTRIBUTION LIST:**

Original signed by

Kenneth W. Warden, AD-440
Signature Management Analyst, AD-440

09/28/2001
Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED

Rev. 10/12/2000

U.S. Department of Energy

Oak Ridge Operations

ORO O 220 Chapter IX Change 2

DATE: 09/28/2001

SUBJECT: AUDITING OF PROGRAMS AND OPERATIONS

1. PURPOSE. This chapter correlates to DOE O 224.2, (formerly DOE 2321.1B), AUDITING OF PROGRAMS AND OPERATIONS, dated March 22, 2001, by assigning responsibility and accountability and providing administrative and/or contractual guidance to Oak Ridge Operations (ORO) and the National Nuclear Security Administration Y-12 Area Office (YAO), and their contractors. Nothing in this issuance changes any requirements contained in any DOE directive.
2. CANCELLATION. This chapter cancels and replaces ORO O 220, Chapter IX, Chg. 1, AUDITING OF PROGRAMS AND OPERATIONS, dated January 21, 1999.
3. APPLICABILITY. The provisions of this chapter apply to ORO and YAO Principal Staff.
4. RESPONSIBILITIES. Director, Financial Evaluation and Accountability Division.
 - a. Maintains a management review program and evaluates programs and projects under ORO and YAO cognizance to determine their status, improve operations, and determine the adequacy of the management of DOE resources.
 - b. Provides for effective coordination among ORO and YAO management review programs, the operating contractors' internal audit staffs, and the Inspector General (IG).
 - c. Provides support to the procurement process, as necessary, including conducting preaward audits and/or making arrangements for both preaward and postaward audits with the IG or other cognizant Federal audit agencies.
 - d. Evaluates the adequacy of coverage, technical competence, objectivity, and independence of audits conducted by internal auditors of DOE operating contractors.
5. REQUIREMENTS AND PROCEDURES. None.
6. REFERENCES. None.
7. DEFINITIONS. None.
8. CONTRACTOR REQUIREMENTS DOCUMENT. None.
9. ATTACHMENTS. None.