

DIRECTIVES CONTROL FORM - ORO O FINAL DIRECTIVE

PART A (To be completed by the Division of Primary Interest (DPI))

1. **NUMBER AND TITLE OF DIRECTIVE:** **ORO O 220, Chapter IX, Chg. 1, AUDITING OF PROGRAMS AND OPERATIONS**

2. **PURPOSE OF TRANSMITTAL:** New Directive Revised Directive Page Change

3. **THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS:** (Check appropriate boxes)

No (all contractors)

Yes If yes, whom?

LMES

LMER

ORAU

SURA

Bechtel Jacobs Company

Other contractors (list by type)

Many ORO contractors have approved S/RIDs or WSS sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.

4. **SIGNIFICANT PROVISIONS:** Are there any significant changes or impact?

No Yes If yes, describe: This ORO Chapter is part of the ORO sunset review process. Changes to this Chapter include minor editorial revisions to indicate the correct number of the correlating DOE Order (DOE 2321.1B) and the current organizational title of the ORO Financial Evaluation and Accountability Division.

5. **CONTACT POINT:** W. J. Hough Financial Review Team, FM-731 576-0966
Name Organization Telephone

PART B (To be completed by the Directives Management Group (DMG)):

6. **FILING INSTRUCTIONS:**

<u>Remove</u>	<u>Dated</u>	<u>Insert</u>	<u>Dated</u>
ORO O 220, Chapter IX	09/30/1996	ORO O Control Form	01/21/1999
Page IX-1		ORO O 220, Chapter IX,	01/21/1999
		Chg. 1, Page IX-1	

ORO Orders are available on the ORO Directives Management Home Page [http://www.ornl.gov/doe_oro_dmg/orchklst.htm] within 5-10 working days after receipt of this Control Form. The ORO Orders will no longer be mailed in printed copy unless you do not have Internet capabilities.

7. **APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH THE OFFICIAL DIRECTIVES DISTRIBUTION LIST:**

Original signed by

Jennifer H. Cusick, AD-440

Signature Management Analyst, AD-440

01/21/1999

Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED

Rev. 08/04/1998

U.S. Department of Energy

Oak Ridge Operations

ORO O 220 Chapter IX Change 1

DATE: 01/21/1999

SUBJECT: AUDITING OF PROGRAMS AND OPERATIONS

1. PURPOSE. This Chapter correlates to DOE 2321.1B, AUDITING OF PROGRAMS AND OPERATIONS, dated May 14, 1992, by assigning responsibility and accountability and providing administrative and/or contractual guidance to ORO and its contractors. Nothing in this issuance changes any requirements contained in any DOE Order.
2. CANCELLATION. This Chapter cancels and replaces ORO O 220, Chapter IX, AUDITING OF PROGRAMS AND OPERATIONS, dated September 30, 1996.
3. APPLICABILITY. The provisions of this Chapter apply to ORO Principal Staff.
4. RESPONSIBILITIES. Director, Financial Evaluation and Accountability Division.
 - a. Maintains a management review program and evaluates programs and projects under ORO cognizance to determine their status, improve operations, and determine the adequacy of the management of DOE resources.
 - b. Provides for effective coordination among ORO management review programs, the operating contractors' internal audit staffs, and the Inspector General (IG).
 - c. Provides support to the procurement process, as necessary, including conducting preaward audits and/or making arrangements for both preaward and postaward audits with the IG or other cognizant Federal audit agencies.
 - d. Evaluates the adequacy of coverage, technical competence, objectivity, and independence of audits conducted by internal auditors of DOE operating contractors.
5. REQUIREMENTS AND PROCEDURES. None.
6. REFERENCES. None.
7. DEFINITIONS. None.
8. CONTRACTOR REQUIREMENTS DOCUMENT. None.
9. ATTACHMENTS. None.