

ORO CONTROL FORM - FINAL DIRECTIVE

PART A (To be completed by the Division of Primary Interest (DPI))

1. **NUMBER AND TITLE OF DIRECTIVE:** **ORO O 220, Chapter I, Change 4, REPORTING FRAUD, WASTE, AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL**
2. **PURPOSE OF TRANSMITTAL:** New Directive Revised Directive
3. **THIS DOCUMENT MAY AFFECT THE WORK PERFORMED BY THE FOLLOWING CONTRACTORS:** (Check appropriate boxes)
- No (all contractors)
- Yes If yes, whom? Bechtel Jacobs Co. ORAU UT-Battelle ISOTEK (Bldg. 3019, ORNL)
- Other contractors (list by type)
- Many ORO contractors have approved Standards/Requirements Identification Documents (S/RIDs) or Work Smart Standards (WSS) Sets that may affect applicability of contractor requirements from this directive. Applicability of contractor requirements must take into account the approved standards set for each particular contract.*
4. **SIGNIFICANT PROVISIONS:** Are there any significant changes or impacts? No Yes
If yes, describe: This ORO Chapter is part of the ORO sunset review process. The only revision to this Chapter was made to reflect change to Paragraph 3 to add statement regarding Y-12 Site Office applicability.
5. **CONTACT POINT:** Jeanette Miller Financial Evaluation and Accountability Div., FM-73 576-2654
Name Organization Telephone

PART B (To be completed by the Directives Management Group (DMG)):

6. **FILING INSTRUCTIONS:**
- | <u>Remove</u> | <u>Dated</u> | <u>Insert</u> | <u>Dated</u> |
|--------------------------|--------------|--------------------------|--------------|
| ORO Control Form | 06/25/2003 | ORO Control Form | 04/29/2005 |
| ORO O 220, Ch. I, Chg. 3 | 06/25/2003 | ORO O 220, Ch. I, Chg. 4 | 04/29/2005 |

ORO Directives are available on the ORO Directives Management Group Home Page at http://www.ornl.gov/doe/doe_oro_dmg/oro_dir.htm. The ORO Directives will no longer be mailed in printed copy unless you do not have Internet capabilities.

7. **APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH THE OFFICIAL DIRECTIVES DISTRIBUTION LIST:**

Original Signed By
Wayne H. Albaugh 04/29/2005
Signature: DMG Team Leader, AD-440 Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED

Rev. 06/14/2004

U.S. Department of Energy

Oak Ridge Office

ORO O 220
Chapter I
Change 4

DATE: 04/29/2005

SUBJECT: REPORTING FRAUD, WASTE, AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL

1. PURPOSE. This Chapter correlates to DOE O 221.1, REPORTING FRAUD, WASTE, AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL, dated March 22, 2001, by assigning responsibility and accountability and providing administrative and/or contractual guidance to Oak Ridge Office (ORO) and the National Nuclear Security Administration Y-12 Site Office (YSO). Nothing in this issuance changes any requirements in any Department of Energy (DOE) Directive.
2. CANCELLATION. This Chapter cancels and replaces ORO O 220, Chapter I, Change 3, REPORTING FRAUD, WASTE, AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL, dated June 25, 2003.
3. APPLICABILITY. The provisions of this Chapter apply to ORO and YSO Principal Staff. Although the Contractor Requirements Document attached to DOE O 221.1 applies to contractors to the extent set forth in their contract, this Chapter imposes no additional contractor requirements. References to the Y-12 Site Office are to clarify the interface between ORO and YSO and are not intended to indicate direction to YSO by ORO. This guidance does not assign responsibility or authority for the YSO to ORO.
4. RESPONSIBILITIES.
 - a. Director, Financial Evaluation and Accountability Division, disseminates procedures provided by the DOE Office of Inspector General (IG) for reporting allegations of fraud, waste, abuse, corruption, or mismanagement.
 - b. Director, Information Resources Management Division, performs those tasks identified in DOE O 221.1, subparagraph 5b.
 - c. Chief Counsel ensures that alleged incidents of fraud, waste, and abuse which have been referred by DOE to law enforcement entities are also reported to the IG.
 - d. Employees report allegations of fraud, waste, and abuse as identified in DOE O 221.1, subparagraph 5d.
 - e. Contracting Officer's Representatives perform those tasks identified in DOE O 221.1, subparagraph 5c.

5. REQUIREMENTS AND PROCEDURES. None.
6. REFERENCES. None.
7. DEFINITIONS. None.
8. CONTRACTOR REQUIREMENTS DOCUMENT. None.
9. ATTACHMENTS. None.