

**CONTROL FORM FOR DOE/ORO DIRECTIVE**

**PART A (To be completed by the ORO DIRECTIVES MANAGEMENT GROUP, AD-440):**

**1. DIRECTIVE NUMBER, TITLE, AND DATE:**

**ORO O 470, CHAPTER XI, CHANGE 5, SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES, dated 02/02/2007**

**2. PURPOSE OF TRANSMITTAL:** \_\_\_ New Directive    X Revised Directive    \_\_\_ Revised Control Form

**3. DOES THIS DIRECTIVE CANCEL OR EXTEND ANY OTHER DIRECTIVES?** X Yes    \_\_\_ No  
**If "Yes,"** list what action (cancel or extend) and list the Directive(s) number(s), title(s), and date(s):

This Directive cancels and replaces ORO O 470, Chapter XI, Change 4, SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES, dated 03/30/2005.

The attached Directive is forwarded for review and action. Complete Part B and forward a completed hard copy of this form to ORO DMG, AD-440, by 02/16/2007.

**PART B (To be completed by the DIVISION OF PRIMARY INTEREST [DPI]):**

**COMPLETE FOR DOE DIRECTIVES ONLY:**

**4. SUPPLEMENTAL ORO DIRECTIVE REQUIRED?**

Is a new or revised supplemental ORO Directive required? \_\_\_ Yes    \_\_\_ No

**If "Yes,"** target date for submission of supplemental ORO Directive is \_\_\_\_\_.

**COMPLETE FOR BOTH DOE AND ORO DIRECTIVES:**

**5. CONTRACTOR APPLICABILITY.**

**Does this Directive affect the work performed by ORO Contractors?** \_\_\_ Yes    X No

**If "Yes," whom?** \_\_\_ Bechtel Jacobs Company LLC    \_\_\_ ORAU

\_\_\_ UT-Battelle, LLC    \_\_\_ ISOTEK (Bldg. 3019, ORNL)

\_\_\_ Foster Wheeler (FWENC)

\_\_\_ Other Contractors (list by name)

**6. SUBJECT MATTER EXPERT:** Linda Eble Asst. Manager for Security & Emergency Mgmt., OS-20  
Name Organization

*Original Signed By*

**7. APPROVED BY:** D. F. Thress, Asst. Manager for Security & Emergency Mgmt.    02/02/2007  
Signature Organization Date

**PART C (To be completed by the ORO DIRECTIVES MANAGEMENT GROUP, AD-440):**

**APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH OFFICIAL DIRECTIVE DISTRIBUTION LIST:**

*Original Signed By*

Wayne H. Albaugh

02/02/2007

DMG Team Leader, AD-440

Date

**INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED.** (Revised 02/10/2006)

# U.S. Department of Energy

Oak Ridge Office

ORO O 470 Chapter XI Change 5
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DATE: 02/02/2007

**SUBJECT: SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE  
COMPARTMENTED INFORMATION FACILITIES**

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1. PURPOSE. This Chapter correlates to DOE 5639.8A, SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES, dated July 23, 1993, by assigning responsibility and accountability and providing administrative and/or contractual guidance to the Oak Ridge Office (ORO) and its contractors. Nothing in this issuance changes any requirements contained in any Department of Energy (DOE) Directive.
2. CANCELLATION. This Chapter cancels and replaces ORO O 470, Chapter XI, Change 4, SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES, dated March 30, 2005.
3. APPLICABILITY. The provisions of this Chapter apply to ORO and its contractors and subcontractors who perform work for the Department that requires access, receipt, storage, processing, and/or handling of Foreign Intelligence Information (FII), including Sensitive Compartmented Information (SCI).
4. RESPONSIBILITIES.
  - a. Chief Operating Officer (COO), ORO.
    - (1) Serves as the Senior ORO Intelligence Operation and Control Official.
    - (2) Delegates approval authority to the Assistant Manager for Security and Emergency Management (AMSEM), for requests for SCI access from ORO employees, contractors, and subcontractors.
  - b. Assistant Manager for Security and Emergency Management, ORO.
    - (1) Adjudicates authorizations requests for SCI access for ORO employees, contractors, and subcontractors.
    - (2) Performs those tasks identified in DOE 5639.8A, subparagraphs 8f(2)-(8), as directed by COO.
    - (3) Provides the COO with a listing of all SCI-cleared personnel within ORO, as requested.

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DISTRIBUTION: DMG DISTRIBUTION LIST

INITIATED BY: OFFICE OF ASSISTANT MANAGER FOR  
SECURITY AND EMERGENCY MANAGEMENT

c. Principal Staff; and Director, Field Intelligence Element.

- (1) Establish, manage, and operate facilities that receive, store, process, and disseminate FII, including SCI, in a manner that conforms to the requirements of DOE 5639.8A.
- (2) Report discovery of any incident or security-related situation that could be construed as involving a compromise, possible compromise, or suspected compromise of FII, including SCI, in accordance with DOE M 470.4-1, Section N, INCIDENTS OF SECURITY CONCERN.
- (3) Perform those tasks identified in DOE 5639.8A, subparagraph 8b(2), when procurement requests are initiated under their supervision.
- (4) Special Security Officer, FIE, ensures all required information and need-to-know justification is included in each request for SCI access prior to submission to Director, Office of Intelligence IN-1, HQ, for approval.
- (5) Ensure need-to-know justification is valid for individuals accessing FII and SCI information on an annual basis.

d. Contracting Officer's Representatives.

- (1) Perform those tasks identified in DOE 5639.8A, paragraph 8b(1).
- (2) Ensure that any deficiencies noted as a result of ORO, or HQ surveys/inspections are addressed with corrective actions designed to meet FII management, control, and security requirements.

5. REQUIREMENTS AND PROCEDURES. None.

6. REFERENCES.

- a. Executive Order 12333, UNITED STATES INTELLIGENCE ACTIVITIES, dated December 4, 1981.
- b. DOE M 470.4-1, Section N, INCIDENTS OF SECURITY CONCERN, dated August 26, 2005.

7. DEFINITIONS. **FIELD INTELLIGENCE ELEMENT (FIE)** is an element of ORO or of any ORO contractor that has been recognized in writing by IN-1 as an extension of DOE HQ that performs unique and critical FII operations in direct support of the Department's intelligence mission as defined in Executive Order 12333. The FIE's mission may require interface with Intelligence Community agencies.

8. CONTRACTOR REQUIREMENTS DOCUMENT. None.

9. ATTACHMENTS. None.