

CONTROL FORM FOR DOE/ORO DIRECTIVE

PART A (To be completed by the ORO DIRECTIVES MANAGEMENT GROUP, AD-440):

1. DIRECTIVE NUMBER, TITLE, AND DATE:

ORO O 220, CHAPTER IX, CHANGE 5, AUDITING OF PROGRAMS AND OPERATIONS, dated 07/27/2007

2. PURPOSE OF TRANSMITTAL: New Directive Revised Directive Revised Control Form

3. DOES THIS DIRECTIVE CANCEL OR EXTEND ANY OTHER DIRECTIVES? Yes No
If "Yes," list what action (cancel or extend) and list the Directive(s) number(s), title(s), and date(s):

This Directive cancels and replaces ORO O 220, Chapter IX, Change 4, AUDITING OF PROGRAMS AND OPERATIONS, dated 08/08/2005.

The attached Directive is forwarded for review and action. Complete Part B and forward a completed hard copy of this form to ORO DMG, AD-440, by **08/10/2007**.

PART B (To be completed by the DIVISION OF PRIMARY INTEREST [DPI]):

COMPLETE FOR DOE DIRECTIVES ONLY:

4. SUPPLEMENTAL ORO DIRECTIVE REQUIRED?

Is a new or revised supplemental ORO Directive required? Yes No

If "Yes," target date for submission of supplemental ORO Directive is _____.

COMPLETE FOR BOTH DOE AND ORO DIRECTIVES:

5. CONTRACTOR APPLICABILITY.

Does this Directive affect the work performed by ORO Contractors? Yes No

If "Yes," whom? Bechtel Jacobs Company LLC ORAU

UT-Battelle, LLC ISOTEK (Bldg. 3019, ORNL)

Foster Wheeler (FWENC)

Other Contractors (list by name)

6. SUBJECT MATTER EXPERT: Jeffrey Payne FM-73
Name Organization

Original Signed By

7. APPROVED BY: Jeffrey Payne, Dir. Financial Evaluation & Accountability Div., FM-73 07/27/2007
Signature Organization Date

PART C (To be completed by the ORO DIRECTIVES MANAGEMENT GROUP, AD-440):

APPROVED FOR DISTRIBUTION IN ACCORDANCE WITH OFFICIAL DIRECTIVE DISTRIBUTION LIST:

Original Signed By

Wayne H. Albaugh

07/27/2007

DMG Team Leader, AD-440

Date

INSTRUCTIONS TO ADDRESSEES: THIS FORM IS TO BE FILED WITH THE DIRECTIVE AND RETAINED.

(Revised 02/10/2006)

U.S. Department of Energy

Oak Ridge Office

ORO O 220
Chapter IX
Change 5

DATE: 07/27/2007

SUBJECT: AUDITING OF PROGRAMS AND OPERATIONS

1. PURPOSE. This Chapter correlates to DOE O 224.2, AUDITING OF PROGRAMS AND OPERATIONS, dated March 22, 2001, by assigning responsibility and accountability and providing administrative and/or contractual guidance to Oak Ridge Office (ORO) (including site offices for which ORO has financial cognizance) and its contractors. Nothing in this issuance changes any requirements contained in any Department of Energy (DOE) Directive.
2. CANCELLATION. This Chapter cancels and replaces ORO O 220, Chapter IX, Change 4, AUDITING OF PROGRAMS AND OPERATIONS, dated August 8, 2005.
3. APPLICABILITY. The provisions of this Chapter apply to ORO and site offices (for which ORO has financial cognizance) Principal Staff.
4. RESPONSIBILITIES.
 - a. Director, Financial Evaluation and Accountability Division.
 - (1) Maintains a Management Review Program and evaluates programs and projects under ORO cognizance to determine their status, improve operations, and determine the adequacy of the management of DOE resources.
 - (2) Provides for effective coordination among ORO Management Review Programs, the operating contractors' internal audit staffs, and the Inspector General (IG).
 - (3) Provides support to the procurement process, as necessary, including conducting preaward audits and/or making arrangements for both preaward and postaward audits with the IG or other cognizant Federal audit agencies.
 - (4) Evaluates the adequacy of coverage, technical competence, objectivity, and independence of audits conducted by internal auditors of DOE operating contractors.
5. REQUIREMENTS AND PROCEDURES. None.
6. REFERENCES. None.
7. DEFINITIONS. None.
8. CONTRACTOR REQUIREMENTS DOCUMENT. None.
9. ATTACHMENTS. None.