Print Form

eWFO: Project Proposal

*Color indication:
Blue -> Required
Green -> if YES, ...
Red -> System

Project Type: ◯ Federal ◯ Non Federal ◯ JWS

Project Number:

Project Title:

Project Structure:

Project Status: Initial Input

Version Number: 0000 Amendment Number:

Version Title:

Version Status: Input

Lead Sponsor Information

Sponsor Proposal Contact

Name	Address	Phone/E-Mail

Sponsor Technical Contact

Name	Address	Phone/E-Mail

Sponsor/Work Type Check all that apply, if any

- ☐ Construction projects that exceed the GPP threshold
- ☐ Counter Terorism
- ☐ Work for Department of Homeland Security
- ☐ DOE BAA-type Competitive Solicitations (Grants, Cooperative Agreements, National Laboratory Calls
- ☐ Emergencies as described in the DOE accounting handbook
- ☐ DOE Scheduled Rates/Cooperative Work
- ☐ Foreign Sponsor
- ☐ Foreign Sponsor or Foreign Owned US entity

- ☐ General Emplyee/Joint Faculty Loan Agreements
- ☐ Inter-agency Personnel Agreements
- ☐ Intelligence Related
- ☐ Loan of Personnel
- ☐ Nuclear Non-Proliferation Detection Technology
- ☐ Other DOE Work - not WFO (Funds only)
- ☐ Research involving human subjects
- ☐ Space Nuclear Reactors, Non-Commercial Reactors, or Radioisotope Power Sources
- ☐ United States Enrichment Corporation
- ☐ US University or College
- ☐ User Facilities - Funds only
- ☐ US Non-profit
- ☐ US State or Local Government
- ☐ US Small Business

ORNL Responsible Personnel

Other Technical Contact

Badge	Name	Phone	Email	DIV	DIV Name

Principal Investigator

Badge	Name	Phone	Email	DIV	DIV Name

Information Security

Does work for this project/task involve Unclassified Controlled Nuclear Information (UCNI)? ◯ Yes ◯ No

Does work for this project/task involve classified information? ◯ Yes ◯ No

*** If answer is Yes (involve classified information), then continue the following questions (in green color); Otherwise (No), skip to next BLUE questions.**

Reminders: (1) DO NOT ENTER CLASSIFIED DATA IN THIS SYSTEM.
(2) Sensitive data that is prohibited from storage on a networked computer should not be entered into this system.

Please answer the following questions and work with the Operations Security (OPSEC) and classification officers for full approval.

Select appropriate designation of Classification Level (C, S, TS, or Level not yet determined) for the classified information:

- ◯ LND - Level Not yet determined
- ◯ S - Secret
- ◯ C - Confidentia
- ◯ TS - Top Secret

Select appropriate designation of Classification Category (RD, FRD, or NSI) for the classified information:

- ◯ RD - Resticted Data
- ◯ NSI -National Security Information
- ◯ FRD - Formerly restricted Data

Classified information for this WFO project/task will be handled:

◯ Only at the WFO Sponsors site ◯ ORNL ◯ Other site

Other site (Specify):

Will the WFO sponsor issue classification guidance? ◯ Yes ◯ No

If Yes, when will the WFO sponsor's classification guidance be received?

NOTE: Other-agency guidance must be compared with existing DOE guidance to ensure no conflict exists. This comparison is done by the appropriate Classification Officer, and work should not begin until the other-agency guidance is approved.

Will classified mail and/or shipments be sent/received for this project/task? ◯ Yes ◯ No

If "Yes" for "sent," indicate the classified mailing address(es) that will be used for outgoing mail/shipments.

Will this project/task involve providing and DOE classified information to the WFO Sponsor? ◯ Yes ◯ No

(NOTE: If Yes, consult with your Site Classification Officer and Classified Matter Protection and Control Office.)

Will this project/task require classified computing resources at ORNL? ◯ Yes ◯ No

Will this project/task require accessing a WFO Sponsor's classified computing resources from ORNL?

◯ Yes ◯ No

Other Comments, Project Explanations, or Project Parameters

Does Project Package contain Official Use Only (OUO) data? ◯ Yes ◯ No

Do any of the Attached Documents contain Official Use Only (OUO) data: ◯ Yes ◯ No

Contracting Officer Letter

Is a DOE Contracting Officer letter needed to allow ORNL's participation as a partner with a private organization in response to the solicitation?

◯ Yes ◯ No

REMINDER: RESPONDING OR TEAMING IN RESPONSE TO REQUEST FOR PROPOSALS (RFPS) OR RFP-TYPE ANNOUNCEMENT IS PROHIBITED.

Project Performance and Funding

Project Performance Period: From [ ] Through [ ]

Requested Basis for Funding (full cost or fund cost)

◯ Full Cost (Fund Cost + FAC Fed Admin Charge ◯ Fund Cost

Provide project's full cost dollar amount required for this proposal and the associated full-time equivalents (FTEs):

Dollar Amt (Full Project Cost Amount) $ [ ] Est FTEs $ [ ]

(*) The system will make this determination based on responses given to Sponsor/work type

FAC amount Contributed $ [ ]

DOE Cost $ [ ]

Cost charged $ [ ]

FAC amount Waived $ [ ]

Advance Payment Required? ◯ Yes ◯ No **(Required justification in writing from sponsor)**

Basis for Advance Payment Waiver: [ ]

Non-federal Project Review

What technology areas are likely to be involved in the project?

[ ]

Will project utilize contractor technology/Intellectual Property? ◯ Yes ◯ No ◯ Unknown

Will project utilize contractor technology/Intellectual Property that is licensed or being considered for licensing to some other company?

◯ Yes ◯ No ◯ Unknown

Is project likely to generate Intellectual Property? ◯ Yes ◯ No

Is there a likelihood of any inventions or development of IP in this work? ◯ Yes ◯ No

If Yes, list BIP.

Is participant interested in licensing BIP? ◯ Yes ◯ No

Is there any Background Intellectual Property (BIP) involved from either party? ◯ Yes ◯ No

Collaboration is: Intense interaction between the parties as the research progresses and the research can not be furthered without participation of both parties. or Conducting the research toward the same scientific objective. Project management by itself is not collaboration.

Collaboration: Nature of project, R&D or Service (select one). R&D

Collaboration: If R&D, indicate which apply:

◯ Only the DOE contractor performs the R&D and provides results to Participant/Sponsor.

◯ Contractor and Participant/Sponsor will actively collaborate in R&D effort.

Project Resource Requirement: Funding Source.

◯ The participant/sponsor is providing ALL of the project funds.

◯ DOE or other federal agency is providing some of the funding through the sponsor.

◯ DOE or other federal agency is providing some of the funding through the DOE financial plan to ORNL.

If DOE is providing some of the funding, provide DOE B&R Code(s).

Is DOE funding in place? ◯ Yes ◯ No

For funding that the participant/Sponsor is providing, is that funding entirely from their own copr/bus funds OR wholly/ partially from some fed agency (slect one).

◯ DOE Contribution via Contractor(s)

◯ Participant(s) In-King Contribution.

◯ Participant(s) Funds-In

Project Description

Mandatory Conditions

BY SUBMISSION OF THIS PROPOSAL TO DOE, THE PRINCIPAL INVESTIGATOR AND LABORATORY OFFICIALS ARE CERTIFYING THAT THE FOLLOWING CONDITIONS ARE MET:

* This is not a response to a Request for PROPOSAL or any other solicitation from another Federal agency or non-Federal entity that involves head-to-head competition either as an offeror, team member, or subcontractor to an offeror.
* The proposed work will not adversely impact execution of assigned programs of the facility.
* The proposed work will not create a detrimental future burden on DOE resources.
* The proposed project, if funded, will be conducted in compliance with all requirements of the current DOE and M&O contract, including classification and security guidelines, quality assurance criteria and environmental, and safety and health standards.

* The proposed work is consistent with or complementary to DOE Missions and the Missions of the Facility where the work will be performed.

Action: Explain and provide reference to specific DOE program.

* The proposed work will not place the facility in direct competition with the domestic private sector.

Action: Explain the special or unique capabilities required for this particular project that are not available in the domestic private sector.

Human Research

Does the work involve human research? ◯ Yes ◯ No

If yes, attach IRB approval and IRB-approved consent forms.

If yes, attach summary of work involving human studies.

Animal Research

Does the work involve animal research? ◯ Yes ◯ No

If yes, attach Animal Care and Use Committee approval

DOE Facilities

Should this project be previewed by the PI's division approver? (always select YES for M&C) ◯ Yes ◯ No

Will this project require any construction or modification of DOE facilities that will exceed the General Plant Project (GPP) threshold of $5 million?

◯ Yes ◯ No

If Yes, provide explanation and state where it will be located and proposed equipment disposition.

Accountable Equipment

Will accountable equipment be purchased and/or will DOE equipment be modified with Sponsor funding?

◯ Yes ◯ No

If Yes, identify the equipment and explain the proposed equipment disposition.

Risk Checklist

Risk Determination: Rating of Potential Risk Areas (High, Medium, Low, None, Unknown)

Perception of Directed Procurementp-down List	Unknown
Perception of Service Contract	Unknown
Hardware Procurement for Sponsor	Unknown
Conflict of Interest with Management & Operating Contractor Affiliates (follow-on work)	Unknown
Competition with Qualified Private Industry	Unknown
Lack of In-House Competence	Unknown
Unavailability of In-House Personnel	Unknown
Need for DOE Physical Resources (computers, shops, etc.)	Unknown
Competition with a DOE Research Program	Unknown
Schedule/Cost Uncertainties	Unknown
Environmental/Safety/Health Impact	Unknown
Safeguards/Security Impact	Unknown

Note: A clarifying explanation is required for each potential risk area assessed as Medium or High risk.

Enter any clarifying explanations:

Price-Anderson Act (PAA) Considerations: Does scope of work include any requirements to perform nuclear-related work in US or overseas?

◯ Yes ◯ No

If yes, explain nuclear-related work on the project.

Procurement Integrity Act (PIA) Consideration: Does the proposed scope of work include any services/products that may be used by federal agency in a competitive procurement process to be conducted by, or for, the Sponsor?

◯ Yes ◯ No

If yes, check any of the following that apply:

- ☐ Drafting a Statement of Work
- ☐ Development of procurement or purchase requests
- ☐ Selection of Sources
- ☐ Review and approval of a specification
- ☐ Preparation or issuance of a solicitation
- ☐ conduct of negotiations
- ☐ Requirements computation at an inventory point
- ☐ Evaluation of bids or proposals
- ☐ Review and approval of the award of a contract or contract modification

If Yes, explain procurement-related work on the project.

If Yes, PIA training is required. Has the responsible PI/PM for the project completed required training?

◯ Yes ◯ No

Solicitation Information

Is this in response to a Broad Agency Announcement (BAA) or BAA-type announcement, or grant-like arrangement ?

◯ Yes ◯ No

If yes, Please Indicate if Responding: Directly

Agency Issuing Solication

Announcement Number

Announcement Title

Type of Announcement: Broad Agency Announcement (BAA)

If Other, Please Specify:

Work Performance

Indicate the estimated percentage of the work to be performed by the following:

Work Performance Information

Organization	Percentage of Project	Contact Name	Contact Phone
ORNL			
Y-12 NSC			
ORISE			
Other DOE Orgs			
Subcontractors			
Total			

Work at DOE facilities summary: 000 % (Calculate by system automatically)

DOE organizational breakdown of the 000% (Calculate by system automatically)

Organization	Percentage	
Total	000 %	(Calculate by system automatically)

Work Location (if applicable)

Description of task(s) to be performed by outside organizations

Will work be performed at Sponsor facilities other than routine visits/briefings? ☐ Yes ☐ No

If yes, provide Location/Reason

Related Issues:

If work is to be performed outside of the U.S., list foreign countries where Performed:

List additional comments, request for special provisions or exceptions, or foreign travel:

PROPOSAL/Statement of Work Attached (Mark accordingly above if OUO data is included)

All required documents must be attached prior to submission for Approval.