

<b>AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT</b>		1. CONTRACT ID CODE		PAGES OF PAGES 1   1	
2. AMENDMENT/MODIFICATION M096		3. EFFECTIVE DATE SEE BLOCK 16C		4. REQUISITION/PURCHASE REQ. NO. WPAS-NOPR	
5. PROJECT NO. (If applicable)		6. ISSUED BY U. S. Department of Energy Oak Ridge Operations P. O. Box 2001 Oak Ridge, TN 37831-8756		7. ADMINISTERED BY (If other than Item 6)	
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code)		9A. AMENDMENT OF SOLICITATION NO.		9B. DATED (SEE ITEM 11)	
UT-Battelle, LLC Attn: Michael J. Fietze, Director Prime Contract Administration Post Office Box 2008 Oak Ridge, TN 37831-6231		<input checked="" type="checkbox"/>		10A. MODIFICATION OF CONTRACT/ORDER DE-AC05-00OR22725	
CODE   FACILITY CODE		<input type="checkbox"/>		10B. DATED (SEE ITEM 13) October 18, 1999	
11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATION					
<p>The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of offers ___ is extended. ___ is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning ___ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOU ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.</p>					
12. ACCOUNTING AND APPROPRIATION DATA (If required)					
13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ ORDERS, IT MODIFIES CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.					
A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT/ORDER NO. IN ITEM 10A.					
B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation data, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).					
C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:					
X D. OTHER (Specify type of modification and authority) Clause I-140 and P.L. 95-91					
E. IMPORTANT: Contractor [ ] is not, [ x ] is required to sign this document and return <u>2</u> copies to the issuing office.					
14. DESCRIPTION OF AMENDMENT/ MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)					
The purpose of this modification is to revise Appendix E by incorporating Requirements Change Notice No. OR-15 attached hereto.					
Except as provided herein, all terms and conditions of the document referenced in Item 9A and 10A, as heretofore changed, remains unchanged and in full force and effect.					
15A. NAME AND TITLE OF SIGNER (Type or print) Greg L. Turner Chief Financial Officer			16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Beverly J. Harness Contracting Officer		
15B. CONTRACTOR/OFFEROR <u>Greg L. Turner</u> (Signature of person authorized to sign)		15C. DATE SIGNED 2/16/04	16B. UNITED STATES OF AMERICA BY <u>Beverly J. Harness</u> (Signature of Contracting Officer)		16C. DATE SIGNED 2/17/04

DOE Form  
(04/1991)

**U.S. Department of Energy  
REQUIREMENTS CHANGE NOTICE**

RCN No.: OR-15

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PROJECT: Contract Baseline Documentation

LOCATION: Oak Ridge, Tennessee

CONTRACTOR: UT-Battelle, LLC

CONTRACT NO.: DE-AC05-00OR22725, Clause I-140, Laws,  
Regulations, and DOE Directives (JUN  
1997), DEAR 970.5204-78

DATE OF CONTRACT: April 1, 2000

This Requirements Change Notice (RCN) No. OR-15 incorporates into Appendix E, Contract No. DE-AC05-00OR22725, the attached list of applicable documents, which have been assessed against the terms and conditions of the subject contract in accordance with the above-referenced clause. This is a complete numbered list of administrative requirements and a representation of environment, safety, and health (ES&H) requirements. Information regarding ES&H-related directives and their applicability can only be obtained from specific WSS sets and S/RID functional areas sets. ES&H-related directives in WSS sets and S/RIDs have an ES&H footnote. Changes to Appendix E are indicated by bold type. Below is a list of the directives incorporated herein:

EXECUTIVE SUMMARY OF DIRECTIVE CHANGES

ADDITIONS:

DOE M 231.1-2  
DOE M 474.1-2<sup>a</sup>

DOE O 551.1B  
ORO O 440, Chapter V, Change 3

DELETIONS:

DOE O 232.1  
DOE O 420.1, Change 3  
ORO N 471.2, Change 4  
ORO O 440, Chapter V, Change 2

DOE O 420.1  
DOE M 474.1-2  
DOE O 551.1A

EXTENSIONS:

DOE N 471.3 (Extended by DOE N 251.54)

DOE N 473.8 (Extended by DOE N 251.54)

UPDATES:

WSS Set 1, through Change 31  
WSS Set 2, through Change 15  
WSS Set 3, through Change 2  
WSS Set 4, 5, 6, 7, and 11, through Change 14  
WSS Set 8 and 9, through Change 3  
WSS Set 10, through Change 15 and 16  
S/RID, Emergency Management, through Change 4  
S/RID, Occurrence Reporting, through Change 2

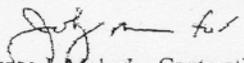
IMPLEMENTATION PLANS (REVISED COMPLIANCE STATUS):

None

ADMINISTRATIVE CORRECTION:

None

DOE AUTHORIZING SIGNATURE:

  
George J. Malosh, Contracting Officer's Representative

DATE:

1/15/04

DOE Form  
(04/1991)

RCN No.: OR-15

**U.S. Department of Energy  
REQUIREMENTS CHANGE NOTICE**

Page 1

PROJECT: Contract Baseline Documentation

LOCATION: Oak Ridge, Tennessee

CONTRACTOR: UT-Battelle, LLC

CONTRACT NO.: DE-AC05-00OR22725, Clause I-140, Laws,  
Regulations, and DOE Directives (JUN  
1997), DEAR 970.5204-78

DATE OF CONTRACT: April 1, 2000

This Requirements Change Notice (RCN) No. OR-15 incorporates into Appendix E, Contract No. DE-AC05-00OR22725, the attached list of applicable documents, which have been assessed against the terms and conditions of the subject contract in accordance with the above-referenced clause. This is a complete numbered list of administrative requirements and a representation of environment, safety, and health (ES&H) requirements. Information regarding ES&H-related directives and their applicability can only be obtained from specific WSS sets and S/RID functional areas sets. ES&H-related directives in WSS sets and S/RIDs have an ES&H footnote. Changes to Appendix E are indicated by bold type. Below is a list of the directives incorporated herein:

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**ADDITIONS:**

DOE M 231.1-2  
DOE M 474.1-2<sup>a</sup>

DOE O 551.1B  
ORO O 440, Chapter V, Change 3

**DELETIONS:**

DOE O 232.1  
DOE O 420.1, Change 3  
ORO N 471.2, Change 4  
ORO O 440, Chapter V, Change 2

DOE O 420.1  
DOE M 474.1-2  
DOE O 551.1A

**EXTENSIONS:**

DOE N 471.3 (Extended by DOE N 251.54)

DOE N 473.8 (Extended by DOE N 251.54)

**UPDATES:**

WSS Set 1, through Change 31  
WSS Set 2, through Change 15  
WSS Set 3, through Change 2  
WSS Set 4, 5, 6, 7, and 11, through Change 14  
WSS Set 8 and 9, through Change 3  
WSS Set 10, through Change 15 and 16  
S/RID, Emergency Management, through Change 4  
S/RID, Occurrence Reporting, through Change 2

**IMPLEMENTATION PLANS (REVISED COMPLIANCE STATUS):**

None

**ADMINISTRATIVE CORRECTION:**

None

DOE AUTHORIZING SIGNATURE:

DATE:

George J. Malosh, Contracting Officer's Representative

## UT-Battelle RCN No. OR-15

**Baseline list of  
Required Compliance Documents**

**List B – List of Applicable Directives**

<b>DOE Directives</b> <b>DOE Directives may be found at the following address:</b> <a href="http://www.directives.doe.gov/">http://www.directives.doe.gov/</a>				
Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE O 110.3	11/03/1999	Conference Management		
DOE O 137.1A	08/30/1999	Plan for Operating in the Event of a Lapse in Appropriations		See Footnote (1).
DOE M 140.1-1B	03/30/2001	Interface with the Defense Nuclear Facilities Safety Board		
DOE N 142.1	07/14/1999	Unclassified Foreign Visits and Assignments		Expiration date removed by DOE N 251.53.
DOE O 151.1A	11/01/2000	Comprehensive Emergency Management		ES&H-related Directive included in S/RID. See Footnote (2).
DOE M 200.1-1, Except Chapter 7	03/01/1997	Telecommunications Security Manual		
DOE O 200.1	09/30/1996	Information Management Program		
DOE N 203.1	10/02/2000	Software Quality Assurance		Expiration date extended to 12/31/2001 by DOE N 251.40.
Compliance Line: Implementation Plan (Revision 1) approved, 09/24/2001. Implementation Plan (Revision 2) submitted, 05/20/2003.				
DOE N 205.1	07/26/1999	Unclassified Cyber Security Program		
DOE N 205.2	11/01/1999	Foreign National Access to DOE Cyber Systems		Expiration date extended to 02/16/2004 by DOE N 205.5.
DOE N 205.3	11/23/1999	Password Generation, Protection, and Use		Expiration date extended to 02/16/2004 by DOE N 205.5.

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Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE N 205.4	03/18/2002	Handling Cyber Security Alerts and Advisories and Reporting Cyber Security Incidents		Expiration date extended to 06/04/2004 by DOE N 205.6.
DOE O 221.1	03/22/2001	Reporting Fraud, Waste, and Abuse To The Office of Inspector General		
DOE O 221.2	03/22/2001	Cooperation With The Office of Inspector General		
DOE O 225.1A, Attachment 1	11/26/1997	Accident Investigation		
DOE M 231.1-1	09/30/1995	Environment, Safety, and Health Reporting Manual		ES&H-related Directive included in WSS. See Footnote (2).  WSS Initiative for DOE N 231.1 deletes paragraphs 2a through 2b(2) and 2i(3)(a) through 2i(3)(d) of Chapter II.
<b>DOE M 231.1-2</b>	<b>08/19/2003</b>	<b>Occurrence Reporting and Processing of Operations Information</b>		
DOE N 231.1	07/03/2002	Environment, Safety, and Health Reporting Notice		ES&H-related Directive included in WSS. See Footnote (2).  Expiration date extended to 01/15/2004 by DOE N 231.2.
DOE O 231.1	09/30/1995	Environment, Safety, and Health Reporting		ES&H-related Directive included in WSS. See Footnote (2).

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Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE O 241.1A	04/09/2001	Scientific and Technical Information Management		
DOE M 251.1-1A	01/30/1998	Directives System Manual		
DOE O 251.1A	01/30/1998	Directives System		
DOE O 252.1	11/19/1999	Technical Standards Program		
DOE O 350.1	09/30/1996	Contractor Human Resource Management Programs	1 05/08/1998	
DOE O 350.2	07/12/2001	Use of Facility Contractor Employees for Services to DOE in the Washington, D. C., Area		
DOE O 412.1	04/20/1999	Work Authorization System		
DOE O 413.1A	04/18/2002	Management Control Program		
DOE O 413.2A	01/08/2001	Laboratory Directed Research and Development		
DOE M 413.3-1	03/28/2003	Project Management for the Acquisition of Capital Assets		
DOE O 413.3	10/13/2000	Program and Project Management for the Acquisition of Capital Assets		This Order cancels specific paragraphs of DOE O 430.1A regarding a project management system. See DOE O 430.1A for further information.

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Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE O 420.1A	05/20/2002	Facility Safety		ES&H-related directive included in WSS. See Footnote (2).
<p><b>Compliance Line 1: Implementation Plan approved for Section 4.3 (no Change Number), 10/09/1999.</b></p> <p><b>Compliance Line 2: Implementation Plan approved for Section 4.2 and 4.4, 06/19/2003.</b></p> <p><b>Compliance Line 3: Implementation Plan submitted for Section 4.5, 04/15/2003.</b></p>				
DOE O 420.2A	01/08/2001	Safety of Accelerator Facilities		ES&H-related directive included in WSS. See Footnote (2).
DOE O 425.1C	03/13/2003	Startup and Restart of Nuclear Facilities		ES&H-related directive included in WSS. See Footnote (2).
DOE O 430.1A	10/14/1998	Life Cycle Asset Management		DOE O 413.3 cancels paragraphs 6e(7); 7a(3), 7b(11) and (14); 7c(4), (6), (7), (11), and (16); 7d(4), and (8); 7e(3), (10), and (17); Attachment 1, Definitions (Items 30, 42, and 48), and Attachment 2, CRD (Paragraph 1d, regarding a project management system).  DOE O 430.2A deletes paragraphs 6d(2), 6h, 7b(1), 7b(2), and 7e(16).
DOE O 430.2A	04/15/2002	Departmental Energy and Utilities Management		

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Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE O 433.1	06/01/2001	Maintenance Management Program for DOE Nuclear Facilities		ES&H-related directive included in WSS. See Footnote (2).
Compliance Line: Implementation Plan approved 07/21/2003.				
DOE M 435.1-1	07/09/1999	Radioactive Waste Management Manual		
DOE O 435.1	07/09/1999	Radioactive Waste Management		ES&H-related directive included in WSS. See Footnote (2).
DOE M 440.1-1	09/30/1995	DOE Explosives Safety Manual		ES&H-related Directive included in WSS. See Footnote (2).
DOE O 440.1	09/30/1995	Worker Protection Management for DOE Federal and Contractor Employees	2 10/21/1996	ES&H-related directive included in WSS. See Footnote (2).
DOE O 440.1A	03/27/1998	Worker Protection Mgmt for DOE Federal and Contractor Employees		ES&H-related directive included in WSS. See Footnote (2).
DOE O 440.2B	11/27/2002	Aviation Management and Safety		ES&H-related directive included in WSS. See Footnote (2).
DOE O 442.1A	06/06/2001	Department of Energy Employee Concerns Program		
DOE O 443.1	05/15/2000	Protection of Human Subjects		
DOE O 450.1	01/15/2003	Environmental Protection Program		ES&H-related Directive included in WSS. See Footnote (2).

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Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE M 450.3-1	01/25/1996	The Department of Energy Closure Process for Necessary and Sufficient Sets of Standards		
DOE N 450.7	10/17/2001	The Safe Handling, Transfer, and Receipt of Biological Etiologic Agents at Department of Energy Facilities		ES&H-related directive included in WSS. See Footnote (2).  Expiration date extended to 06/30/2004 by DOE N 450.12.
DOE O 460.1B	04/04/2003	Packaging and Transportation Safety		ES&H-related directive included in WSS. See Footnote (2).
DOE M 470.1-1	10/02/2002	Safeguards and Security Awareness Program		
DOE O 470.1	09/28/1995	Safeguards and Security Program	1 06/21/1996	Expiration date extended to 05/14/2004 by DOE N 251.53.
DOE N 470.2	12/15/2000	Reporting Unofficial Foreign Travel		Expiration date extended to 12/31/2001 by DOE N 250.40.
DOE O 470.2B	10/31/2002	Independent Oversight and Performance Assurance Program		
DOE M 471.1-1, Change 1	06/30/2000	Identification and Protection of Unclassified Controlled Nuclear Information Manual	1 10/23/2001	
DOE O 471.1A	06/30/2000	Identification and Protection of Unclassified Controlled Nuclear Information		

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Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE M 471.2-1B	01/06/1999	Classified Matter Protection and Control Manual		Canceled by DOE M 471.2-1C, except for Chapter III, paragraphs 1 and 2; and Chapter IV.
DOE M 471.2-1C	04/17/2001	Classified Matter Protection And Control Manual		
DOE M 471.2-2	08/03/1999	Classified Information Systems Security Manual		DOE N 205.3 cancels paragraphs 4j(2) and 4(j)6 of Chapter VI; and paragraph 12a(2)(a) of Chapter VII.  DOE N 205.4 cancels Chapter III, Section 8.
DOE M 471.2-3A	07/11/2002	Special Access Program (SAP) Policies, Responsibilities, and Procedures Manual		
DOE O 471.2A	03/27/1997	Information Security Program		Expiration date extended to 05/14/2004 by DOE N 251.53.
DOE M 471.3-1	04/09/2003	Manual for Identifying and Protecting Official Use Only Information		
DOE N 471.3	04/13/2001	Reporting Incidents of Security Concerns		<b>Expiration date extended to 07/08/2004 by DOE N 251.54.</b>
DOE O 471.3	04/09/2003	Identifying and Protecting Official Use Only Information		
DOE M 472.1-1B	07/12/2001	Personnel Security Program Manual		
DOE O 472.1C	03/25/2003	Personnel Security Activities		

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Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE M 473.1-1	12/23/2002	Physical Protection Program Manual		
<b>Compliance Line: Implementation plan submitted, 08/21/2003.</b>				
DOE O 473.1	12/23/2002	Physical Protection Program		
DOE N 473.8	08/07/2002	Security Conditions		<b>Expiration date extended to 07/08/2004 by DOE N 251.54.</b>
DOE M 474.1-1B	06/13/2003	Manual for Control and Accountability of Nuclear Materials	08/31/2003	
<b>DOE M 474.1-2A</b>	<b>08/19/2003</b>	<b>Nuclear Materials Management and Safeguards System Reporting and Data Submission Manual</b>		
DOE O 474.1A	11/20/2000	Control and Accountability of Nuclear Materials		
DOE M 475.1-1A	02/26/2001	Identifying Classified Information		
DOE M 481.1-1A	01/03/2001	Reimbursable Work For Non-Federal Sponsors Process Manual	1 09/28/2001	
DOE N 481.1A	04/21/2003	Reimbursable Work for Department of Homeland Security		
DOE O 481.1B	09/28/2001	Work for Others (Non-Department of Energy-Funded Work		
DOE O 482.1	01/12/2001	DOE Facilities Technology Partnering Programs		

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Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE M 483.1-1	01/12/2001	DOE Cooperative Research and Development Agreements Manual		
DOE O 483.1	01/12/2001	DOE Cooperative Research and Development Agreements		
DOE O 534.1B	01/06/2003	Accounting		
<b>DOE O 551.1B</b>	<b>08/19/2003</b>	<b>Official Foreign Travel</b>		
DOE M 573.1-1	07/12/2000	Mail Services User's Manual		
DOE O 1270.2B	06/23/1992	Safeguards Agreement with the International Atomic Energy Agency		See Footnote (1).
DOE O 1340.1B	01/07/1993	Management of Public Communications Publications and Scientific, Technical, and Engineering Publications		
DOE O 1350.1	10/28/1981	Audiovisual and Exhibits Management	1 03/26/1984	
DOE O 1450.4	11/12/1992	Consensual Listening-In To Or Recording Telephone/Radio Conversations		

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Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE O 2110.1A	07/14/1988	Pricing of Departmental Materials and Services	2 05/18/1992	
<p>Compliance Line 1: Change 2 of the Order failed to incorporate interim guidance, "Policy on Waiver of DOE's Added Factor and Depreciation." Subsequent clarification from ORO confirmed the need to continue following this interim guidance.</p> <p>Compliance Line 2: Implementation will be in accordance with DOE-ORO letter from J. R. Martin, Director, Finance Division, dated 08/03/1994, regarding the application of the Departmental Added Factor (DAF) based on the source of funds. Effective 08/03/1994, the DAF rate will be applied to any work performed for a non-Federal entity (as opposed to full cost factor and depreciation normally applied to private work) if the work is financed from another Federal entity. Written documentation should be provided from the non-Federal entity or the other Federal agency. This change in policy applies only to new work.</p> <p>Compliance Line 3: Implementation will be in accordance with DOE memorandum from Elizabeth E. Smedley, Controller, dated 08/25/1994, regarding the waiver of the added factor and depreciation for small business and nonprofit organizations participating in funds-in-agreement, effective 10/01/1994. The waiver is valid for one year, through 09/30/1995.</p>				
DOE O 2300.1B	06/08/1992	Audit Resolution and Follow-up		
DOE O 2340.1C	06/08/1992	Coordination of General Accounting Office Activities		See Footnote (1).
DOE O 5400.5	02/08/1990	Radiation Protection of the Public and the Environment		ES&H-related Directive included in WSS. See Footnote (2).
DOE O 5480.19	07/09/1990	Conduct of Operations Requirements for DOE Facilities	2 10/23/2001	ES&H-related Directive included in WSS. See Footnote (2).
Compliance Line: Implementation Plan approved for Change 1, 06/12/2002.				
DOE O 5480.20A	11/15/1994	Personnel Selection, Qualification, and Training Requirements for DOE Nuclear Facilities	1 07/12/2001	ES&H-related Directive included in WSS. See Footnote (2).

## UT-Battelle RCN No. OR-15

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Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE O 5480.4	05/15/1984	Environmental Protection, Safety and Health Protection Standards		ES&H-related Directive included in WSS. See Footnote (2).
DOE O 5560.1A	05/08/1985	Priorities and Allocations Program		
DOE O 5639.8A	07/23/1993	Security of Foreign Intelligence Information and Sensitive Compartmented Information Facilities		
DOE O 5660.1B	05/26/1994	Management of Nuclear Materials		
DOE O 5670.1A	01/15/1992	Management and Control of Foreign Intelligence		
Compliance Line: Implementation is in accordance with MMES Letter No. AE92-044, dated 06/10/1992.				

## UT-Battelle RCN No. OR-15

Baseline list of  
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<b>ORO DIRECTIVES</b>				
ORO Directives can be found at the following address: <a href="http://www.ornl.gov/doe_oro_dmg/orchklst.htm">http://www.ornl.gov/doe_oro_dmg/orchklst.htm</a>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
<b>ORO O 130</b>				
<b>BUDGET</b>				
Chapter II	05/15/1996	SHUTDOWN OF DEPARTMENT OPERATIONS UPON FAILURE OF CONGRESS TO ENACT APPROPRIATIONS	3 06/26/2003	
<b>ORO O 140</b>				
<b>EXTERNAL RELATIONSHIPS</b>				
Chapter II	05/15/1996	SAFEGUARDS AGREEMENT WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY (IAEA)	2 01/26/2001	
<b>ORO O 150</b>				
<b>EMERGENCY MANAGEMENT AND PLANNING</b>				
Chapter IV	05/31/1996	RADIOLOGICAL ASSISTANCE PROGRAM (RAP)	3 02/08/2001	ES&H-related directive included in S/RID. See Footnote (2).
<b>ORO O 220</b>				
<b>ASSESSMENTS</b>				
Chapter III	05/31/1996	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS	3 04/02/2002	
Chapter IV	05/31/1996	COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES	2 06/18/2001	
Chapter VII	05/31/1996	AUDIT RESOLUTION AND FOLLOW-UP	2 06/19/2001	

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**Baseline list of  
Required Compliance Documents**

**List B – List of Applicable Directives**

<b>ORO DIRECTIVES</b>				
ORO Directives can be found at the following address: <a href="http://www.ornl.gov/doe_oro_dmg/orchklst.htm">http://www.ornl.gov/doe_oro_dmg/orchklst.htm</a>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
<b>ORO O 250</b>				
<b>STANDARDS MANAGEMENT</b>				
Chapter I	08/31/1996	ORO STANDARDS MANAGEMENT PROGRAM OVERVIEW	2 04/25/2001	
Chapter II	08/13/1996	OAK RIDGE DIRECTIVES SYSTEM	3 04/25/2001	
Chapter IV	08/13/1996	IMPACTS ASSESSMENTS	2 04/26/2001	
Chapter V	08/13/1996	DEVELOPMENT, APPROVAL, AND MAINTENANCE OF WORK SMART STANDARDS	3 06/19/2001	
Chapter VI	08/13/1996	DIRECTIVE IMPLEMENTATION PLANS AND EXEMPTIONS REQUESTS	2 04/26/2001	
Chapter VII	08/13/1996	MAINTENANCE OF STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS	2 04/27/2001	
Chapter VIII	04/27/2001	REQUIREMENTS CHANGE NOTICES		
<b>ORO O 350</b>				
<b>CONTRACTOR HUMAN RESOURCE PROGRAMS</b>				
Chapter III	05/31/1996	FEDERAL LABOR STANDARDS	2 04/05/2002	
<b>ORO O 410</b>				
<b>MANAGEMENT</b>				
Chapter I	09/24/1996	WORK AUTHORIZATION SYSTEM	2 02/06/2002	
Chapter II	05/31/1996	MANAGEMENT OF NUCLEAR MATERIALS	2 07/16/2001	

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
<b>ORO O 420</b>				
Chapter XI	04/04/2000	AUTHORIZATION AGREEMENTS		
<b>ORO O 430</b>				
Chapter I	09/30/1996	LIFE CYCLE ASSET MANAGEMENT	5 07/07/1999	
Chapter II	06/14/1996	IN-HOUSE ENERGY MANAGEMENT	2 07/25/2001	
<b>ORO O 440</b>				
Chapter V	09/30/1996	EMPLOYEE CONCERNS MANAGEMENT SYSTEM	3 07/25/2003	
<b>ORO O 450</b>				
Chapter IV	12/29/1999	ENVIRONMENT, SAFETY, AND HEALTH (ES&H) SELF-ASSESSMENT AND CONTRACTOR ASSESSMENT PROGRAM	1 01/02/2002	

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**Baseline list of  
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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
<b>ORO O 470</b>				
<b>SAFEGUARDS AND SECURITY</b>				
Chapter I	09/30/1996	SAFEGUARDS AND SECURITY PROGRAM	2 07/31/2002	
Chapter VII	05/15/1996	PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS	3 11/13/2002	
Chapter IX	05/15/1996	CONTROL AND ACCOUNTABILITY OF NUCLEAR MATERIALS	2 08/28/2002	
Chapter XI	09/30/1996	SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES	2 07/01/2002	
<b>ORO O 530</b>				
<b>ACCOUNTING</b>				
Chapter III	06/18/1996	ACCOUNTING	4 04/29/2003	
<b>ORO O 550</b>				
<b>TRAVEL AND TRANSPORTATION</b>				
Chapter II	09/26/2001	FOREIGN TRAVEL AUTHORIZATION		

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**List B – List of Applicable Directives**

<b>WORK SMART STANDARDS (WSS) SETS AND STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS (S/RIDS)</b> <b>WSS Sets and S/RIDs can be found at the following address:</b> <a href="http://sbms.ornl.gov/SBMS/WSSHome/WSS.html">http://sbms.ornl.gov/SBMS/WSSHome/WSS.html</a>				
Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
WSS Set 1	07/25/1996	Other Industrial, Radiological, and Non-Radiological hazard Facilities	<b>31</b> <b>11/20/2003</b>	
WSS Set 2	09/30/1996	Radiochemical Research Facilities (Buildings 2026 and 5505)	<b>15</b> <b>11/20/2003</b>	
WSS Set 3	09/30/1996	Accelerator Facilities	<b>2</b> <b>10/02/2003</b>	
WSS Set 4	12/17/1996	Radiochemical Technology Facilities (Buildings 3027 and 3047)	<b>14</b> <b>11/20/2003</b>	
WSS Set 5	12/17/1996	Radiochemical Engineering Development Center (Buildings 7920, 7930, and Support Areas)	<b>14</b> <b>11/20/2003</b>	
WSS Set 6	05/07/1997	Radiochemical Development Facility (Building 3019 and its ancillary buildings)	<b>14</b> <b>11/20/2003</b>	
WSS Set 7	05/07/1997	Irradiated Materials Examination and Testing Facility and Irradiated Fuels Examination Laboratory (Buildings 3025E, 3525, and Support Areas)	<b>14</b> <b>11/20/2003</b>	
WSS Set 8	05/07/1997	Construction and Construction-like Activities	<b>3</b> <b>10/02/2003</b>	
WSS Set 9	04/14/1998	Engineering Design of Standard Industrial, Radiological, Non-Reactor Category 2 and 3 Nuclear, and Accelerator Facilities	<b>3</b> <b>11/20/2003</b>	
WSS Set 10	09/10/1998	High Flux Isotope Reactor and its associated facilities	<b>15</b> <b>10/22/2003</b> <b>16</b> <b>11/20/2003</b>	
WSS Set 11	07/01/1999	Building 4501 Nuclear Hot Cell Facility	<b>14</b> <b>11/20/2003</b>	

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**Baseline list of  
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<p align="center"><b>WORK SMART STANDARDS (WSS) SETS AND STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS (S/RIDS)</b>  WSS Sets and S/RIDs can be found at the following address:  <a href="http://sbms.ornl.gov/SBMS/WSSHome/WSS.html">http://sbms.ornl.gov/SBMS/WSSHome/WSS.html</a></p>				
<b>Required Compliance Document</b>	<b>Document Date</b>	<b>Title</b>	<b>Through Change</b>	<b>Notes and Comments</b>
WSS Set 12	05/15/2000	Chem-Bio Facility (Building 5507A)	<b>1</b> 03/12/2002	
WSS Set 13	04/18/2003	Spallation Neutron Source		
S/RID	11/20/1997	Occurrence Reporting	<b>2</b> <b>11/06/2003</b>	
S/RID	11/05/1996	Emergency Management	<b>4</b> <b>09/30/2003</b>	

**FOOTNOTES:**

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) This document is ES&H-related and appears in one or more of the current Work Standards (WSS) Sets or is incorporated in the Standards/Requirements Identification Document (S/RID). In an S/RID or WSS Set, the document may be referenced in its entirety or only certain chapters, paragraphs, or sections. Additional information regarding directives and their applicability may be obtained from specific WSS sets.