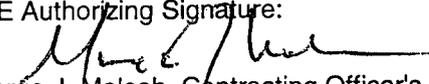


| | | | |
|---|---|---|--|
| AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT | | 1. CONTRACT ID CODE | PAGES OF PAGES 1 1 1 |
| 2. AMENDMENT/MODIFICATION M069 | 3. EFFECTIVE DATE SEE BLOCK 16C | 4. REQUISITION/PURCHASE REQ. NO. WPAS-NOPR | 5. PROJECT NO. (If applicable) |
| 6. ISSUED BY U. S. Department of Energy Oak Ridge Operations P. O. Box 2001 Oak Ridge, TN 37831-8756 | | 7. ADMINISTERED BY (If other than Item 6) NOV 14 2002 | |
| 8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) UT-Battelle, LLC Attn: David C. Rice, Director, Contracts Post Office Box 2008 Oak Ridge, TN 37831-6443 CODE FACILITY CODE | | (X) | 9A. AMENDMENT OF SOLICITATION NO. |
| | | | 9B. DATED (SEE ITEM 11) |
| | | X | 10A. MODIFICATION OF CONTRACT/ORDER DE-AC05-000R22725 |
| | | | 10B. DATED (SEE ITEM 13) October 18, 1999 |
| 11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATION | | | |
| <p>_____ The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of offers _____ is extended. _____ is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOU ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.</p> | | | |
| 12. ACCOUNTING AND APPROPRIATION DATA (If required) | | | |
| 13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ ORDERS, IT MODIFIES CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14. | | | |
| | A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT/ORDER NO. IN ITEM 10A. | | |
| | B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation data, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b). | | |
| | C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: | | |
| X | D. OTHER (Specify type of modification and authority) Clause I-140 and P.L. 95-91 | | |
| E. IMPORTANT: Contractor [] is not, [X] is required to sign this document and return <u>2</u> copies to the issuing office. | | | |
| 14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.) | | | |
| The purpose of this modification is to revise Appendix E by incorporating Requirements Change Notice No. OR-10 attached hereto. | | | |
| Except as provided herein, all terms and conditions of the document referenced in Item 9A and 10A, as heretofore changed, remains unchanged and in full force and effect. | | | |
| 15A. NAME AND TITLE OF SIGNER (Type or print) Greg L. Turner Chief Financial Officer | | 16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Susan G. Hiser Contracting Officer | |
| 15B. CONTRACTOR/OFFEROR <u>Greg L. Turner</u> (Signature of person authorized to sign) | 15C. DATE SIGNED 11/07/02 | 16B. UNITED STATES OF AMERICA BY <u>Susan G. Hiser</u> (Signature of Contracting Officer) | 16C. DATE SIGNED 11/8/02 |

| | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|--|------------------------------------|------------------------------|-------------|---------------------|--------------------|-------------|--------------|----------------------------------|--------------|----------------------------------|--------------|-----------------------|---|----------------------------|-------------|----------------------------------|-------------|----------------------------------|-------------|-------------------------------------|--|-----------------------|---------------------------------|--|-------------|--|
| DOE Form (04/1991) | | No: OR-10 | | | | | | | | | | | | | | | | | | | | | | | | | |
| U.S. Department of Energy Requirements Change Notice | | | Page 1 | | | | | | | | | | | | | | | | | | | | | | | | |
| Project: Contract Baseline Documentation | | Location: Oak Ridge, Tennessee | | | | | | | | | | | | | | | | | | | | | | | | | |
| Contractor: UT-Battelle, LLC | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Contractor No.: DE-AC05-00OR22725 Special Contract Requirements Clause I-140 | | Date of Contract: April 1, 2000 | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>This Requirements Change Notice (RCN) No. OR-10 incorporates into Appendix E, Contract No. DE-AC05-00OR22725, the attached list of applicable documents which have been assessed against the terms and conditions of the subject contract in accordance with the above referenced clause. Changes to Appendix E are indicated by bold type. Environmental, Safety, and Health (ES&H)-related directives are indicated by italics. Below is a list of directives incorporated herein.</p> <p>ADDITIONS:</p> <table border="0"> <tr> <td>DOE N 205.4</td> <td><i>DOE O 440.2A</i></td> </tr> <tr> <td><i>DOE N 231.1</i></td> <td>DOE N 450.8</td> </tr> <tr> <td>DOE N 251.44</td> <td>ORO O 220, Chapter III, Change 3</td> </tr> <tr> <td>DOE O 413.1A</td> <td>ORO O 350, Chapter III, Change 2</td> </tr> <tr> <td>DOE O 430.2A</td> <td>ORO N 471.2, Change 4</td> </tr> </table> <p>DELETIONS:</p> <table border="0"> <tr> <td><i>DOE M 231.1-1, paragraphs 2a through 2b(2) and 2i(3)(a) through 2i(3)(d), only</i></td> <td>DOE M 471.2-2, Chapter III</td> </tr> <tr> <td>DOE O 413.1</td> <td>ORO O 220, Chapter III, Change 2</td> </tr> <tr> <td>DOE O 430.2</td> <td>ORO O 350, Chapter III, Change 1</td> </tr> <tr> <td>DOE N 430.3</td> <td>ORO O 350, Chapter VI, and Change 1</td> </tr> <tr> <td><i>DOE O 435.1, Compliance line only</i></td> <td>ORO N 471.2, Change 3</td> </tr> <tr> <td><i>DOE O 440.2 and Change 2</i></td> <td>DOE O 430.1A, paragraphs 6d(2), 6h, 7b(1), 7b(2), and 7e(16)</td> </tr> <tr> <td>DOE N 413.1</td> <td></td> </tr> </table> <p>OTHER APPLICABLE DIRECTIVES: WSS, Chgs. 19, 20, & 21 - Other Industrial, Radiological, and Non-Radiological Hazard Facilities WSS, Chg. 2 - Engineering Design of Standard Industrial, Radiological, Non-Reactor Category 2 & 3, Nuclear and Accelerator Facilities</p> | | | | DOE N 205.4 | <i>DOE O 440.2A</i> | <i>DOE N 231.1</i> | DOE N 450.8 | DOE N 251.44 | ORO O 220, Chapter III, Change 3 | DOE O 413.1A | ORO O 350, Chapter III, Change 2 | DOE O 430.2A | ORO N 471.2, Change 4 | <i>DOE M 231.1-1, paragraphs 2a through 2b(2) and 2i(3)(a) through 2i(3)(d), only</i> | DOE M 471.2-2, Chapter III | DOE O 413.1 | ORO O 220, Chapter III, Change 2 | DOE O 430.2 | ORO O 350, Chapter III, Change 1 | DOE N 430.3 | ORO O 350, Chapter VI, and Change 1 | <i>DOE O 435.1, Compliance line only</i> | ORO N 471.2, Change 3 | <i>DOE O 440.2 and Change 2</i> | DOE O 430.1A, paragraphs 6d(2), 6h, 7b(1), 7b(2), and 7e(16) | DOE N 413.1 | |
| DOE N 205.4 | <i>DOE O 440.2A</i> | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <i>DOE N 231.1</i> | DOE N 450.8 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| DOE N 251.44 | ORO O 220, Chapter III, Change 3 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| DOE O 413.1A | ORO O 350, Chapter III, Change 2 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| DOE O 430.2A | ORO N 471.2, Change 4 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <i>DOE M 231.1-1, paragraphs 2a through 2b(2) and 2i(3)(a) through 2i(3)(d), only</i> | DOE M 471.2-2, Chapter III | | | | | | | | | | | | | | | | | | | | | | | | | | |
| DOE O 413.1 | ORO O 220, Chapter III, Change 2 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| DOE O 430.2 | ORO O 350, Chapter III, Change 1 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| DOE N 430.3 | ORO O 350, Chapter VI, and Change 1 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <i>DOE O 435.1, Compliance line only</i> | ORO N 471.2, Change 3 | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <i>DOE O 440.2 and Change 2</i> | DOE O 430.1A, paragraphs 6d(2), 6h, 7b(1), 7b(2), and 7e(16) | | | | | | | | | | | | | | | | | | | | | | | | | | |
| DOE N 413.1 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| EXPIRATION DATES EXTENDED BY DOE N 251.44: | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| DOE N 205.1 | DOE N 205.2 | DOE N 205.3 | DOE N 471.3 DOE N 473.6 | | | | | | | | | | | | | | | | | | | | | | | | |
| EXPIRATION DATE EXTENDED BY DOE N 450.8: | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| DOE N 450.7 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| DOE Authorizing Signature:  George J. Malosh, Contracting Officer's Representative | | | Date: 9/25/02 | | | | | | | | | | | | | | | | | | | | | | | | |

OUTLINE OF CHANGES

| REQUIRED COMPLIANCE DOCUMENT | DATE | TITLE | THROUGH CHANGE | AUTHORIZATION |
|---|------------|--|----------------|---|
| <u>OD #112</u> <u>DOE O 430.2A</u> | 04/15/2002 | DEPARTMENTAL ENERGY AND UTILITIES MANAGEMENT | | ORO ltr dated 05/08/2002; UT-Battelle ltr dated 06/04/2002 |
| <i>Adds DOE O 430.2A and deletes DOE O 430.1A, paragraphs 6d(2), 6h, 7b(1), 7b(2), and 7e(16) and deletes DOE O 430.2.</i> | | | | |
| <u>OD #113</u> <u>DOE N 430.3</u> | 12/13/2000 | EXTENSION OF DOE O 430.2, IN-HOUSE ENERGY MANAGEMENT | | ORO ltr dated 05/08/2002; UT-Battelle ltr dated 07/30/2002 |
| <i>DOE O 430.2 was canceled and replaced by DOE O 430.2A which has been accepted by UT-Battelle, necessitating the deletion of DOE N 430.3.</i> | | | | |
| <u>OD #114</u> <u>ORO O 220,</u> <u>Chapter III,</u> <u>Change 3</u> | 04/02/2002 | ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS | | ORO ltr dated 05/24/2002; UT-Battelle ltr dated 06/19/2002 |
| <i>Deletes ORO O 220, Chapter III, Change 2, and incorporates Change 3.</i> | | | | |
| <u>OD #115</u> <u>ORO O 350,</u> <u>Chapter III,</u> <u>Change 2</u> | 04/05/2002 | FEDERAL LABOR STANDARDS | | ORO ltr dated 05/28/2002; UT-Battelle ltr dated 06/24/2002 |
| <i>Deletes ORO O 350, Chapter III, Change 1, and incorporates Change 2.</i> | | | | |
| <u>OD #116</u> <u>DOE N 205.4</u> | 03/18/2002 | HANDLING CYBER SECURITY ALERTS AND ADVISORIES AND REPORTING CYBER SECURITY INCIDENTS | | ORO ltr dated 05/08/2002; UT-Battelle ltr dated 06/04/2002 |
| <i>Adds DOE N 205.4 and deletes Chapter III, Section 8, of DOE M 471.2-2.</i> | | | | |
| <u>OD #117</u> <u>DOE N 251.44</u> | 05/06/2002 | EXTENSION OF DOE DIRECTIVES ON SECURITY | | ORO ltr dated 05/24/2002; UT-Battelle ltr dated 06/13/2002 |
| <i>Extends the following Directives until December 31, 2002: DOE N-205.1, DOE N-205.2, DOE N-205.3, DOE N 471.3 & DOE N 473.6</i> | | | | |
| <u>OD #118</u> <u>DOE O 413.1A</u> | 04/18/2002 | MANAGEMENT CONTROL PROGRAM | | ORO ltr dated 05/28/2002; UT-Battelle ltr dated 06/19/2002 |
| <i>Adds DOE O 413.1A and deletes DOE O 413.1 and DOE N 413.1.</i> | | | | |

OUTLINE OF CHANGES

| REQUIRED COMPLIANCE DOCUMENT | DATE | TITLE | THROUGH CHANGE | AUTHORIZATION |
|--|------------|--|------------------|---|
| <u>OD #119</u> <u>ORO N 471.2,</u> <u>Change 4</u> | 05/31/2002 | TECHNICAL SURVEILLANCE COUNTERMEASURES (TSCM) PROGRAM, USE OF TELEPHONE LINEMAN-TYPE HANDSETS OR ITEMS SIMILAR IN PURPOSE, USE, OR EFFECT ON DOE-OWNED OR -LEASED PROPERTY | | ORO ltr dated 06/26/2002; UT-Battelle ltr dated 08/20/2002 |
| <i>Adds ORO N 471.2, Change 4, which extends ORO N 471.2, Change 3.</i> | | | | |
| <u>OD #120</u> <u>WSS Type 2</u> | 07/25/1996 | OTHER INDUSTRIAL, RADIOLOGICAL, AND NON-RADIOLOGICAL HAZARD FACILITIES | 19 07/03/2002 | ORO approval ltr dated 07/03/2002 |
| <i>Adds DOE N 231.1, "Environment, Safety, and Health Reporting Notice," and deletes paragraphs 2a through 2b(2) and 2i(3)(a) through 2i(3)(d) in Chapter II in the DOE M 231.1-1, "Environment, Safety, and Health Reporting Manual."</i> | | | | |
| <u>OD #121</u> <u>WSS Type 2</u> | 07/25/1996 | OTHER INDUSTRIAL, RADIOLOGICAL, AND NON-RADIOLOGICAL HAZARD FACILITIES | 21 08/13/2002 | ORO approval ltr dated 08/13/2002 |
| <i>Deletes DOE O 440.2, Change 2, and associated ORO Aviation Implementation Plan, dated April 16, 1997, and adds DOE O 440.2A.</i> | | | | |
| <u>OD #122</u> <u>WSS Type 2</u> | 07/25/1996 | OTHER INDUSTRIAL, RADIOLOGICAL, AND NON-RADIOLOGICAL HAZARD FACILITIES | 20 08/01/2002 | ORO approval ltr dated 08/01/2002 |
| | 04/14/1998 | ENGINEERING DESIGN OF STANDARD INDUSTRIAL, RADIOLOGICAL, NON-REACTOR CATEGORY 2 AND 3 NUCLEAR, AND ACCELERATOR FACILITIES | 2 08/01/2002 | |
| <i>Adds the American Society of Mechanical Engineers (ASME) Boiler and Pressure Vessel Code, Section VIII, to the Other Industrial Radiological Set, and Non-Radiological Hazard Facilities and deletes it from Engineering Design Set</i> | | | | |
| <u>OD #123</u> <u>DOE N 450.8</u> | 06/11/2002 | THE SAFE HANDLING, TRANSFER, AND RECEIPT OF BIOLOGICAL ETIOLOGIC AGENTS AT DEPARTMENT OF ENERGY FACILITIES | | ORO ltr dated 07/26/2002; UT-Battelle ltr dated 08/27/2002 |
| <i>Adds DOE N 450.8, which extends DOE N 450.7 until 12/30/2002, unless sooner rescinded.</i> | | | | |

OUTLINE OF CHANGES

| REQUIRED COMPLIANCE DOCUMENT | DATE | TITLE | THROUGH CHANGE | AUTHORIZATION |
|---|------------|------------------------------|----------------|---|
| <u>OD #124</u> <u>ORO N 251.X18.</u> | 07/30/2002 | CANCELLATION OF DIRECTIVE | | ORO ltr dated 08/19/2002; UT-Battelle ltr dated 09/16/2002 |
| <i> Cancels ORO O 350, Chapter VI, Workplace Substance Abuse Programs at Oak Ridge Sites, dated 06/28/1996, through Change 1, dated September 30, 1998.</i> | | | | |
| <u>OD #125</u> <u>DOE O 435.1</u> | 07/09/1999 | RADIOACTIVE WASTE MANAGEMENT | | ORO ltr dated 07/18/2002; UT-Battelle ltr dated 06/12/2002 |
| <i> Deletion of compliance line for DOE O 435.1, Radioactive Waste Management.</i> | | | | |