



Department of Energy

Oak Ridge Office
P.O. Box 2001
Oak Ridge, Tennessee 37831

October 1, 2009

Mr. Michael J. Fietze, Director
Prime Contract Administration
UT-Battelle, LLC
P.O. Box 2008
Oak Ridge, TN 37831-6262

Dear Mr. Fietze:

CONTRACT NO. DE-AC05-00OR22725 - MODIFICATION NO. 276 - 279

A fully executed copy of contract modifications 276, 277, 278, and 279 is enclosed for your retention. These modifications are issued to obligate American Recovery and Reinvestment Act (Recovery Act) of 2009 funds in the amount of \$7,555,067 to the contract. The total amount of funds obligated under this contract since its inception is increased from \$9,913,389,085.57 to \$9,920,944,152.57.

If you have any questions, please contact me at 576-4523.

Sincerely,

A handwritten signature in black ink that reads "Mark A. Million".

Mark A. Million
Contracting Officer

Enclosure

Block 12 “ACCOUNTING AND APPROPRIATIONS DATA,” continued.

Funds Obligated for Recovery Act Projects:

All required accounting and appropriations data, including the Treasury Accounting Symbol and Fund Code is hereby provided as Attachment 2 to this modification.

Block 14 “DESCRIPTION OF AMENDMENT/MODIFICATION,” continued.

- A. This modification is issued to obligate American Recovery and Reinvestment Act (Recovery Act) of 2009 funds in the amount of \$20,000 to the contract for the project entitled “ORNL Reviewers for ARPA-E Early Harvest Solicitation”.
- B. Accordingly, pursuant to Section I Contract Clause – DEAR 970.5232-4 entitled “Obligation of Funds,” additional funds in the amount of \$20,000 are hereby obligated in support of Recovery Act activities. The total amount of funds obligated under this contract since its inception is increased from \$9,920,924,152.57 to \$9,920,944,152.57.
- C. The specific Contractor Recovery Act Statement of Work, Milestones, Outcomes and Measures, and Deliverables funded by this modification are identified in the following referenced Work Authorization(s):

Work Authorization Number	Work Authorization Title
WA No. 09/CJ000/00/01 (Revision No. 01) (Attachment 1) (Number from Block 6 of the Work Authorization)	ORNL Reviewers for ARPA-E Early Harvest Solicitation

- D. The work described in this modification shall be performed using funds obligated under this contract, which have been appropriated under the Recovery Act of 2009, (Pub. L. 111-5), and as such, is subject to the special statutory conditions and the additional contractual terms and conditions that are listed in section E below and previous contract modifications related to the Recovery Act. The funds obligated hereunder shall only be used to accomplish the work as set forth in section C above and may not be used for any other purpose without the prior written consent of the Contracting Officer.
- E. The Contractor shall complete all Recovery Act Work funded by this modification in accordance with Recovery Act requirements, including the required completion dates specified therein, and by the completion date identified in the approved work authorization for the activity.
- F. All other terms and conditions remain unchanged.

ATTACHMENT 1

WORK AUTHORIZATION

WORK AUTHORIZATION

U. S. DEPARTMENT OF ENERGY
Management & Operating (M&O) Contract Work Authorization

- 1. INITIATOR: Antony DiGiovanni RW-10 202-586-5934
NAME & SIGNATURE ORG CODE TELEPHONE
- 2. PROJECT TITLE: Oak Ridge National Laboratory (ORNL) Reviewers for
ARPA-E Early Harvest Solicitation
- 3. RESPONSIBLE ASSISTANT SECRETARY: Shane Kosinski – ARPA-E
- 4. RESPONSIBLE OPERATIONS OFFICE: AR-1 (ARPA-E)
- 5. M& O CONTRACTOR NAME: Oak Ridge National Laboratory (ORNL)
- 6. WORK AUTHORIZATION NO: 09/CJ 000/00/01 7. REVISION NO: 01
- 8. FUNDS HEREBY AUTHORIZED:

B&R No.	\$000
<u>CJ /01 / 06099</u>	<u>\$20.0</u>
<u> / / </u>	<u> </u>
<u> / / </u>	<u> </u>
<u> / / </u>	<u> </u>

PERFORMANCE PERIOD COVERED BY
FUNDS FROM: 07/07/2009 TO: 7/14/2009
10. WORK START DATE: _____
11. EXPECTED COMPLETION DATE: 7/14/2009
12. FUTURE FUNDING PLANNED (\$000) TBD

13. WORK AUTHORIZED: (Brief description, schedule, results or products and reporting requirements, and any shifting of funds permitted within the work authorization):

This WAS covers expenses for eleven (11) ORNL employees to review two hundred (200) proposals for the ARPA-E program. The ORNL employees will work during the performance period as noted on this WAS. The ORNL employees will participate in the review of the initial concept papers and full applications received from the ARPA-E solicitation. Their reviews will follow the established procedures, as communicated, and the ORNL employees will report their review findings to the responsible Assistant Secretary, (acting) Director, or other senior program official.

The WAS includes \$20,000.

- | | NAME & SIGNATURE | DATE |
|-----|---|-------------------|
| 14. | WORK AUTHORIZATION OFFICIAL: <u>Antony DiGiovanni</u> | <u>07/06/2009</u> |
| 15. | OPERATIONS OFFICE OFFICIAL: <u>[Signature]</u> | <u>9/25/09</u> |
| 16. | M& O CONTRACTOR OFFICIAL: <u>D.C. Christensen</u> | <u>9/25/09</u> |
| 17. | DOE CONTRACTING OFFICER: <u>Mark A. Miller</u> | <u>9/28/09</u> |

Section A: Contractor Recovery Act Schedule or Milestone Requirement

This Work Authorization provides expenses for ONRL employees to review two hundred proposals for the ARPA-E program. ORNL employees will participate in the review of the initial concept papers and full applications received from the ARPA-E solicitation.

Section B: Contractor Recovery Act Performance Outcomes and Measures

Provide review findings to the responsible Assistant Secretary, acting Director or other senior program official within specified time.

Section C: Contractor Recovery Act Deliverable

Deliverables are review results associated with ARPA-E proposals.

ATTACHMENT 2

FINANCIAL PLAN REPORT

Financial Plan Report - Detail

OR22725 - UT-BATTELLE (RECOVERY ACT - 8909/100336)

Fiscal Year: 2009
 Fiscal Month: 12
 Contract Modification Number: N/A
 Rpt Entity: OR OR3/OR4 - Oak Ridge National Lab, X-10, UT-Battelle

Rpt Entity	Fund Code	Leg FT	Obj. Class	Local Use	Project	WFO	Legacy Order Number	Beginning Uncosted Obs	Previous	BA Change	Revised	Total Available
470002	06099	AQ	3166012	CJ0100000	25400	0000000	2010000	0000000	0.00	20,000.00	20,000.00	20,000.00
AY 2009 - Work authorization number 09/C.00000001 Rev 1; Appropriation Symbol: 8909/100336												
Total for Program Parent/Control Point: C:01000000												
Total for Fund Type: AQ												
Total for Recipient Code: OR												
Total for Reporting Entity: 470002												
Total for OR22725 - UT-BATTELLE (RECOVERY ACT - 8909/100336)												

Financial Plan Report - Detail

OR22725 - UT-BATTELLE (RECOVERY ACT - 8909/100336)

Fiscal Year: 2009
 Fiscal Month: 12
 Financial Plan Number: 1
 Contract Modification Number: N/A
 Rpt Entity: OR OR3/OR4 - Oak Ridge National Lab, X-10, UT-Battelle

Agency	Obligation Change Amount
Total Appropriated Funds (Program 40):	0.00
Total DOE and Non-Appropriated Funds:	20,000.00
Grand Total:	20,000.00
Total Non-Appropriated Funds:	0.00