

<b>AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT</b>			1. CONTRACT ID CODE	PAGE OF PAGES 1   1	
2. AMENDMENT/MODIFICATION NO. M149	3. EFFECTIVE DATE See Block 16C	4. REQUISITION/PURCHASE REQ. NO. WPAS-NOPR	5. PROJECT NO. (If applicable)		
6. ISSUED BY U.S. Department of Energy Oak Ridge Office Procurement and Contracts Division ATTN: Mark A. Million P.O. Box 2001 Oak Ridge, TN 37831-8758		7. ADMINISTERED BY (If other than Item 6) CODE			
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code)  UT-Battelle, LLC Attn: Michael J. Fietze, Director Prime Contract Administration Post Office Box 2008 Oak Ridge, TN 37831-6231			<input type="checkbox"/>	9A. AMENDMENT OF SOLICITATION NO.	
			<input type="checkbox"/>	9B. DATED (SEE ITEM 11)	
			<input checked="" type="checkbox"/>	10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC05-00OR22725	
				10B. DATED (SEE ITEM 13) October 18, 1999	
CODE	FACILITY CODE				

**11. THIS ITEM APPLIES TO AMENDMENTS OF SOLICITATIONS**

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers  is extended,  is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended by one of the following methods: (a) By completing Items 8 and 15, and returning \_\_\_\_\_ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

**12. ACCOUNTING AND APPROPRIATION DATA (If required)**

**13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.**

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT/ORDER NO. IN ITEM 10A.
<input type="checkbox"/>	
<input type="checkbox"/>	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
<input type="checkbox"/>	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
<input checked="" type="checkbox"/>	D. OTHER (Specify type of modification and authority) Clause I-112 and P.L. 95-91

E. IMPORTANT: Contractor  is not,  is required to sign this document and return 2 copies to the issuing office.

**14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)**

The purpose of this modification is to revise Appendix E by incorporating Requirements Change Notice No. OR-26 attached hereto.

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print) Greg L. Turner Chief Financial Officer		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Mark A. Million Contracting Officer	
15B. CONTRACT/OFFEROR	15C. DATE SIGNED 9/15/06	16B. UNITED STATES OF AMERICA	16C. DATE SIGNED 9/18/06
BY <u>Greg L. Turner</u> (Signature of person authorized to sign)		BY <u>Mark A. Million</u> (Signature of Contracting Officer)	

DOE Form (04/1991)		RCN No. OR-26									
<b>U.S. Department of Energy REQUIREMENTS CHANGE NOTICE</b>		Page 1 of 10									
PROJECT: Contract Baseline Documentation		LOCATION: Oak Ridge, Tennessee									
CONTRACTOR: UT-Battelle, LLC											
CONTRACT NO.: DE-AC05-00OR22725, Clause I.112, Laws, Regulations, and DOE Directives (Dec. 2000), DEAR 970.5204-2		DATE OF CONTRACT: April 1, 2005									
<p>This Requirements Change Notice (RCN) No. OR-26 incorporates into Appendix E, Contract No. DE-AC05-00OR22725, the attached list of applicable documents, which have been assessed against the terms and conditions of the subject contract in accordance with the above-referenced clause. This is a complete numbered list of administrative requirements and a representation of environment, safety, and health (ES&amp;H) requirements. Information regarding ES&amp;H-related directives and their applicability can only be obtained from specific WSS sets and S/RID functional areas sets. ES&amp;H-related directives in WSS sets and S/RIDs have an ES&amp;H footnote. Changes to Appendix E are indicated by bold type. Below is a list of the directives incorporated herein:</p> <p style="text-align: center;"><b><u>EXECUTIVE SUMMARY OF DIRECTIVE CHANGES</u></b></p> <p><b><u>ADDITIONS:</u></b></p> <table style="width: 100%; border: none;"> <tr> <td style="width: 33%;">DOE O 210.2</td> <td style="width: 33%;">DOE O 420.1B</td> <td style="width: 33%;">DOE Technical Direction CIAC 628,632</td> </tr> <tr> <td>ORO O 220, Chapter III, Change 5</td> <td>ORO O 350, Chapter III, Change 4</td> <td></td> </tr> </table> <p><b><u>DELETIONS:</u></b></p> <table style="width: 100%; border: none;"> <tr> <td style="width: 33%;">ORO O 220, Chapter III, Change 4</td> <td style="width: 33%;">ORO O 350, Chapter III, Change 3</td> <td style="width: 33%;"></td> </tr> </table> <p><b><u>EXTENSIONS:</u></b></p> <p><b><u>UPDATES:</u></b></p> <p>WSS Set for <i>Other Industrial, Radiological, and Non-Radiological Hazard Facilities</i>, Change 52  WSS Set for <i>Radiochemical Research Facilities (Buildings 2026 and 5505)</i>, Change 17  WSS Set for <i>Radioisotope Development Laboratory (Building 3047)</i>, Change 17  WSS Set for <i>Radiochemical Engineering Development Center (Buildings 7920, 7930, and Support Areas)</i>, Change 16  WSS Set for <i>Radiochemical Development Facility (Building 3019 and its ancillary buildings)</i>, Change 17 and 18  WSS Set for <i>Irradiated Materials Examination and Testing Facility and Irradiated Fuels Examination Laboratory (Buildings 3025E, 3525, and Support Areas)</i>, Change 16  WSS Set for <i>Engineering Design of Standard Industrial, Radiological, Non-Reactor Category 2 and 3 Nuclear, and Accelerator Facilities</i>, Change 8  WSS Set for <i>High Flux Isotope Reactor and its associated facilities</i>, Change 19</p> <p><b><u>IMPLEMENTATION PLANS (REVISED COMPLIANCE STATUS):</u></b></p> <p>DOE N 205.11, Implementation Plan (Revision 1) submitted, approved  DOE O 210.2, Implementation Plan due  DOE O 243.1 and DOE O 243.2, Implementation Plan submitted, approved  DOE O 420.1B, Implementation Plan due for Chapter III; Chapter IV; and Chapter V  DOE M 470.4-1, Change 1; DOE M 470.4-3, Change 1; and DOE M 470.4-4, Implementation Plan approved  DOE O 580.1, Implementation Plan submitted, approved</p> <p><b><u>ADMINISTRATIVE CORRECTION:</u></b> None</p>			DOE O 210.2	DOE O 420.1B	DOE Technical Direction CIAC 628,632	ORO O 220, Chapter III, Change 5	ORO O 350, Chapter III, Change 4		ORO O 220, Chapter III, Change 4	ORO O 350, Chapter III, Change 3	
DOE O 210.2	DOE O 420.1B	DOE Technical Direction CIAC 628,632									
ORO O 220, Chapter III, Change 5	ORO O 350, Chapter III, Change 4										
ORO O 220, Chapter III, Change 4	ORO O 350, Chapter III, Change 3										
DOE AUTHORIZING SIGNATURE:		DATE:									
 Johnny O. Moore, Contracting Officer's Representative		7/7/06									

**Appendix E**  
**Baseline List of**  
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**List B - List of Applicable Directives**

<b>DOE Directives</b>				
<b>DOE Directives may be found at the following address:</b>				
<a href="http://www.directives.doe.gov/">http://www.directives.doe.gov/</a>				
Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE O 110.3	11/03/1999	Conference Management		
DOE O 130.1	09/29/1995	Budget Formulation		
DOE O 137.1A	08/30/1999	Plan for Operating in the Event of a Lapse in Appropriations		See Footnote (1).
DOE M 140.1-1B	03/30/2001	Interface with the Defense Nuclear Facilities Safety Board		
DOE O 142.1	01/13/2004	Classified Visits Involving Foreign Nationals		
DOE O 142.2	01/07/2004	Safeguards Agreement and Protocol with the International Atomic Energy Agency		
DOE O 142.3	06/18/2004	Unclassified Foreign Visits and Assignments Program		
DOE O 151.1C, Attachment 2	11/02/2005	Comprehensive Emergency Management System		ES&H-related Directive included in S/RID. See Footnote (2).
Compliance Line: Implementation Plan due to DOE by 09/15/2006.				
DOE N 153.2	08/11/2003	Connectivity to National Atmospheric Release Advisory Center (NARAC)		ES&H-related Directive included in S/RID. See Footnote (2).
DOE M 200.1-1, Except Chapter 7	03/01/1997	Telecommunications Security Manual		
DOE O 200.1	09/30/1996	Information Management Program		
DOE N 203.1	10/02/2000	Software Quality Assurance		Expiration date extended to 12/31/2001 by DOE N 251.40.
DOE M 205.1-1	09/30/2004	Incident Prevention, Warning, and Response (IPWAR) Manual		
DOE M 205.1-2	06/26/2005	Clearing, Sanitization, and Destruction of Information System Storage Media, Memory Devices, and Related Hardware Manual		
Compliance Line: Implementation Plan approved by DOE on 03/08/2006.				
DOE N 205.10	02/19/2004	Cyber Security Requirements for Risk Management		Expiration date extended to 03/18/2006 by DOE N 205.15.
DOE O 205.1	03/21/2003	Department of Energy Cyber Security Management Program		
DOE N 205.11	02/19/2004	Security Requirements for Remote Access to DOE and Applicable Contractor Information Technology Systems		Expiration date extended to 03/18/2006 by DOE N 205.15.
<b>Compliance Line: Implementation Plan (Revision 1) approved by DOE on 08/28/2006.</b>				
DOE N 205.2	11/01/1999	Foreign National Access to DOE Cyber Systems		Expiration date extended to 09/30/2006 by DOE N 205.16.
DOE N 205.3	11/23/1999	Password Generation, Protection, and Use		Expiration date extended to 09/30/2006 by DOE N 205.16.

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Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE N 205.8	02/11/2004	Cyber Security Requirements for Wireless Devices and Information Systems		Expiration date extended to 03/18/2006 by DOE N 205.15.
DOE N 205.9	02/19/2004	Certification and Accreditation Process for Information Systems Including National Security Systems		Expiration date extended to 03/18/2006 by DOE N 205.15.
DOE N 206.3	11/22/2005	Personal Identity Verification		
<b>DOE O 210.2</b>		<b>DOE Corporate Operating Experience Program</b>		
<b>Compliance Line: Implementation Plan due to DOE by 11/07/2006.</b>				
DOE O 221.1	03/22/2001	Reporting Fraud, Waste, and Abuse To The Office of Inspector General		
DOE O 221.2	03/22/2001	Cooperation With The Office of Inspector General		
DOE O 225.1A, Attachment 1	11/26/1997	Accident Investigation		
DOE O 226.1	09/15/2005	Implementation of Department of Energy Oversight Policy		
Compliance Line: Implementation Plan approved by DOE on 05/02/2006.				
DOE M 231.1-1A	03/19/2004	Environment, Safety, and Health Reporting Manual	1 09/09/2004	ES&H-related Directive included in WSS. See Footnote (2).
DOE M 231.1-2	08/19/2003	Occurrence Reporting and Processing of Operations Information		ES&H-related Directive included in S/RID. See Footnote (2).
DOE O 241.1A	04/09/2001	Scientific and Technical Information Management	1 10/14/2003	
DOE O 243.1	02/03/2006	Records Management Program		
<b>Compliance Line: Implementation Plan approved by DOE on 08/10/2006.</b>				
DOE O 243.2	02/02/2006	Vital Records		
<b>Compliance Line: Implementation Plan approved by DOE on 08/10/2006.</b>				
DOE M 251.1-1A	01/30/1998	Directives System Manual		
DOE O 251.1A	01/30/1998	Directives System		
DOE O 252.1	11/19/1999	Technical Standards Program		
DOE O 350.1	09/30/1996	Contractor Human Resource Management Programs	1 05/08/1998	
DOE O 350.2A	10/29/2003	Use of Management and Operating or Other Facility Management Contractor Employees for Services to DOE in the Washington, D.C., Area		
DOE O 413.1A	04/18/2002	Management Control Program		

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Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE O 413.2B	04/19/2006	Laboratory Directed Research and Development		
DOE M 413.3-1	03/28/2003	Project Management for the Acquisition of Capital Assets		
DOE O 413.3	10/13/2000	Program and Project Management for the Acquisition of Capital Assets	1 01/03/2005	
DOE O 414.1C	06/17/2005	Quality Assurance		ES&H-related Directive included in WSS. See Footnote (2).
Compliance Line: Implementation Plan approved by DOE on 1/25/2006.				
DOE O 420.1A	05/20/2002	Facility Safety		ES&H-related Directive included in WSS. See Footnote (2).
<b>Compliance Line: Implementation Plan approved by DOE on 06/19/2003 for DOE O 420.1, Change 3, Section 4.2</b>				
<b>DOE O 420.1B</b>	<b>12/22/2005</b>	<b>Facility Safety</b>		<b>ES&amp;H-related Directive included in WSS. See Footnote (2).</b>
<b>Compliance Line 1: Implementation Plan due to DOE by 10/20/2006 for DOE O 420.1B, Attachment 2, Chapter III.</b>				
<b>Compliance Line 2: Implementation Plan due to DOE by 10/20/2006 for DOE O 420.1B, Attachment 2, Chapter IV.</b>				
<b>Compliance Line 3: Implementation Plan due to DOE by 10/20/2006 for DOE O 420.1B, Attachment 2, Chapter V.</b>				
DOE O 420.2B	07/23/2004	Safety of Accelerator Facilities		ES&H-related Directive included in WSS. See Footnote (2).
DOE O 425.1C	03/13/2003	Startup and Restart of Nuclear Facilities		ES&H-related Directive included in WSS. See Footnote (2).
DOE O 430.1B	09/24/2003	Real Property Asset Management		
DOE O 430.2A	04/15/2002	Departmental Energy and Utilities Management		
DOE O 433.1	06/01/2001	Maintenance Management Program for DOE Nuclear Facilities		ES&H-related Directive included in WSS. See Footnote (2).
DOE M 435.1-1	07/09/1999	Radioactive Waste Management Manual		ES&H-related Directive included in WSS. See Footnote (2).
DOE O 435.1	07/09/1999	Radioactive Waste Management		ES&H-related Directive included in WSS. See Footnote (2).
DOE M 440.1-1	09/30/1995	DOE Explosives Safety Manual		ES&H-related Directive included in WSS. See Footnote (2).
DOE O 440.1A	03/27/1998	Worker Protection Management for DOE Federal and Contractor Employees		ES&H-related Directive included in WSS. See Footnote (2).

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Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE O 440.2B	11/27/2002	Aviation Management and Safety		ES&H-related Directive included in WSS. See Footnote (2).
DOE O 442.1A	06/06/2001	Department of Energy Employee Concerns Program		
DOE O 443.1	05/15/2000	Protection of Human Subjects		
DOE O 450.1	01/15/2003	Environmental Protection Program	2 12/07/2005	ES&H-related Directive included in WSS. See Footnote (2).
DOE M 450.3-1	01/25/1996	The Department of Energy Closure Process for Necessary and Sufficient Sets of Standards		
DOE N 450.7	10/17/2001	The Safe Handling, Transfer, and Receipt of Biological Etiologic Agents at Department of Energy Facilities		ES&H-related Directive included in WSS. See Footnote (2). Expiration date extended to 6/30/2006 by DOE N 450.14.
DOE O 460.1B	04/04/2003	Packaging and Transportation Safety		ES&H-related Directive included in WSS. See Footnote (2).
DOE O 470.2B	10/31/2002	Independent Oversight and Performance Assurance Program		
DOE O 470.3A	11/29/2005	Design Basis Threat Policy		
Compliance Line: Implementation Plan submitted to DOE on 04/05/2006.				
DOE M 470.4-1	08/26/2005	Safeguards and Security Program Planning and Management	1 03/07/2006	
<b>Compliance Line: Implementation Plan approved by DOE on 08/28/06.</b>				
DOE M 470.4-2	08/26/2005	Physical Protection	1 03/07/2006	
Compliance Line: Implementation Plan submitted to DOE on 04/04/2006.				
DOE M 470.4-3	08/26/2005	Protective Force	1 03/07/2006	
<b>Compliance Line: Implementation Plan approved by DOE on 08/28/06.</b>				
DOE M 470.4-4	08/26/2005	Information Security		
<b>Compliance Line: Implementation Plan approved by DOE on 08/31/06.</b>				
DOE M 470.4-5	08/26/2005	Personnel Security		
DOE M 470.4-6	08/26/2005	Nuclear Material Control and Accountability		
DOE M 471.1-1	06/30/2000	Identification and Protection of Unclassified Controlled Nuclear Information Manual	1 10/23/2001	Expiration date extended to 07/07/2006 by DOE N 251.64.
DOE O 471.1A	06/30/2000	Identification and Protection of Unclassified Controlled Nuclear Information		Expiration date extended to 07/07/2006 by DOE N 251.64.

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Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE M 471.2-2	08/03/1999	Classified Information Systems Security Manual		DOE N 205.3 cancels paragraphs 4j(2) and 4(j)6 of Chapter VI; and paragraph 12a(2)(a) of Chapter VII.  DOE N 205.4 cancels Chapter III, Section 8.
DOE M 471.2-3A	07/11/2002	Special Access Program (SAP) Policies, Responsibilities, and Procedures Manual		
DOE M 471.3-1	04/09/2003	Manual for Identifying and Protecting Official Use Only Information		
DOE O 471.3	04/09/2003	Identifying and Protecting Official Use Only Information		
DOE M 475.1-1A	02/26/2001	Identifying Classified Information		Expiration date extended to 03/03/2006 by DOE N 251.61.
DOE O 475.1	12/10/2004	Counterintelligence Program		
DOE M 481.1-1A	01/03/2001	Reimbursable Work For Non-Federal Sponsors Process Manual	1 09/28/2001	
DOE N 481.1A	04/21/2003	Reimbursable Work for Department of Homeland Security		Expiration date extended to 04/21/2006 by DOE N 251.62.
DOE O 482.1	01/12/2001	DOE Facilities Technology Partnering Programs		
DOE M 483.1-1	01/12/2001	DOE Cooperative Research and Development Agreements Manual		
DOE O 483.1	01/12/2001	DOE Cooperative Research and Development Agreements		
DOE O 522.1	11/03/2004	Pricing of Departmental Materials and Services		
DOE O 534.1B	01/06/2003	Accounting		
DOE O 551.1B	08/19/2003	Official Foreign Travel		
DOE M 573.1-1	07/12/2000	Mail Services User's Manual		
DOE O 580.1	12/07/2005	Department of Energy Personal Property Management Program		
<b>Compliance Line: Implementation Plan approved by DOE on 08/10/2006.</b>				
<b>DOE Technical Direction CIAC 628, 632</b>	<b>07/27/2006</b>	<b>System Searches for Cyber Incident Advisory Capability (CIAC) Number 628 and 632 Files</b>		
DOE-STD-1090-2004	06/01/2004	Hoisting and Rigging Standard (Formerly Hoisting and Rigging Manual)		ES&H-related Directive included in WSS. See Footnote (2).
Compliance Line: Implementation Plan (Revision 1) approved by DOE on 03/10/2006.				

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Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE-STD-1186-2004	08/01/2004	Specific Administrative Controls		ES&H-related Directive included in WSS. See Footnote (2).
Compliance Line: Implementation Plan approved by DOE on 08/05/2005 for NNFD Hazard Category 2 and 3 facilities; HFIR implementation plan to be submitted.				
DOE O 1340.1B	01/07/1993	Management of Public Communications Publications and Scientific, Technical, and Engineering Publications		
DOE O 1350.1	10/28/1981	Audiovisual and Exhibits Management	1 03/26/1984	
DOE O 1450.4	11/12/1992	Consensual Listening-In To Or Recording Telephone/Radio Conversations		
DOE O 2340.1C	06/08/1992	Coordination of General Accounting Office Activities		See Footnote (1).
DOE O 5400.5	02/08/1990	Radiation Protection of the Public and the Environment		ES&H-related Directive included in WSS. See Footnote (2).
DOE O 5480.19	07/09/1990	Conduct of Operations Requirements for DOE Facilities	2 10/23/2001	ES&H-related Directive included in WSS. See Footnote (2).
Compliance Line: Implementation Plan approved 06/12/2002 for Change 1.				
DOE O 5480.20A	11/15/1994	Personnel Selection, Qualification, and Training Requirements for DOE Nuclear Facilities	1 07/12/2001	ES&H-related Directive included in WSS. See Footnote (2).
DOE O 5480.4	05/15/1984	Environmental Protection, Safety and Health Protection Standards		ES&H-related Directive included in WSS. See Footnote (2).
DOE O 5560.1A	05/08/1985	Priorities and Allocations Program		
DOE O 5639.8A	07/23/1993	Security of Foreign Intelligence Information and Sensitive Compartmented Information Facilities		
DOE O 5660.1B	05/26/1994	Management of Nuclear Materials		

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<a href="http://www.ornl.gov/doe_oro_dmg/orchklst.htm">http://www.ornl.gov/doe_oro_dmg/orchklst.htm</a>				
<b>Required Compliance Document</b>	<b>Document Date</b>	<b>Title</b>	<b>Through Change</b>	<b>Notes and Comments</b>
ORO O 130, Chapter II	05/15/1996	Shutdown of Departmental Operations Upon Failure by Congress to Enact Appropriations	4 06/01/2005	
ORO O 150, Chapter I	09/30/1996	Comprehensive Emergency Management System	6 04/22/2004	ES&H-related Directive included in S/RID. See Footnote (2).
ORO O 150, Chapter IV	05/31/1996	Radiological Assistance Program, (RAP)	3 02/08/2001	ES&H-related Directive included in S/RID. See Footnote (2).
ORO O 220, Chapter II	09/30/1996	Cooperation with the Office of Inspector General	4 09/06/2005	
<b>ORO O 220, Chapter III</b>	<b>05/02/2006</b>	<b>Establishment of Management Decisions on Office of Inspector General Reports</b>	<b>5</b> <b>05/02/2006</b>	
ORO O 220, Chapter IV	05/31/1996	Coordination of General Accounting Office Activities	4 04/29/2005	
ORO O 220, Chapter VII	05/31/1996	Audit Resolution and Follow-up	4 04/29/2005	
ORO O 250, Chapter I	08/13/1996	ORO Standards Management Program Overview	5 04/18/2006	
ORO O 250, Chapter II	08/13/1996	ORO Directives System	6 04/18/2006	
ORO O 250, Chapter IV	08/13/1996	Impact Assessments	4 04/18/2006	
ORO O 250, Chapter V	08/13/1996	Development, Approval, and Maintenance of Work Smart Standards Sets	6 04/18/2006	
ORO O 250, Chapter VI	09/30/1996	Implementation Plans and Exemption Requests	5 04/18/2006	
ORO O 250, Chapter VII	08/13/1996	Maintenance of Standards/Requirements Identification Documents	4 04/18/2006	
ORO O 250, Chapter VIII	04/27/2001	Requirements Change Notices	2 04/18/2006	
ORO O 250, Chapter X	10/31/2003	DOE Directives System	1 04/18/2006	
<b>ORO O 350, Chapter III</b>	<b>05/09/2006</b>	<b>Federal Labor Standards</b>	<b>4</b> <b>05/09/2006</b>	
ORO O 410, Chapter I	09/24/1996	Work Authorization	3 01/12/2004	
ORO O 410, Chapter II	05/31/1996	Management of Nuclear Materials	3 02/23/2004	
ORO O 420, Chapter XI	04/04/2000	Authorization Agreements	1 01/18/2005	
ORO O 430, Chapter II	06/14/1996	Energy and Utilities Management	3 02/19/2004	

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<b>ORO Directives may be found at the following address:</b>				
<a href="http://www.ornl.gov/doe_oro_dmg/orchklst.htm">http://www.ornl.gov/doe_oro_dmg/orchklst.htm</a>				
<b>Required Compliance Document</b>	<b>Document Date</b>	<b>Title</b>	<b>Through Change</b>	<b>Notes and Comments</b>
ORO O 440, Chapter V	09/30/1996	Employee Concerns Management System	4 04/29/2005	
ORO O 470, Chapter I	09/30/1996	Safeguards and Security Program	3 10/29/2004	
ORO O 470, Chapter IX	05/15/1996	Control and Accountability of Nuclear Materials	3 12/29/2005	
ORO O 470, Chapter VII	05/15/1996	Protection and Control of Safeguards and Security Interests	3 11/13/2002	
ORO O 470, Chapter XIII	01/30/2004	Technical Surveillance Countermeasures Program – Use Of Telephone Lineman-Type Handsets Or Items Similar In Purpose, Use, Or Effect On DOE-Owned Or DOE-Leased Property		
ORO O 530, Chapter III	06/18/1996	Accounting	5 02/02/2005	
ORO O 550, Chapter II	09/26/2001	Foreign Travel Authorization	1 12/16/2004	

**Appendix E**  
**Baseline List of**  
**Required Compliance Documents**  
**List B - List of Applicable Directives**

<b>Work Smart Standards (WSS) Sets and Standards/Requirements Identification Documents (S/RIDs)</b>				
<b>WSS Sets and S/RIDs can be found at the following address:</b>				
<a href="http://sbms.ornl.gov/sbms/wsshome/wss.html">http://sbms.ornl.gov/sbms/wsshome/wss.html</a>				
Required Compliance Document	Approval Date	Title	Change # Approval Date	Notes and Comments
WSS Set 1	07/25/1996	Other Industrial, Radiological, and Non-Radiological Hazard Facilities	52 06/21/2006	
WSS Set 2	09/30/1996	Radiochemical Research Facilities (Buildings 2026 and 5505)	17 06/21/2006	
WSS Set 3	09/30/1996	Accelerator Facilities	3 09/10/2004	
WSS Set 4	12/17/1996	Radioisotope Development Laboratory (Building 3047)	17 06/21/2006	
WSS Set 5	12/17/1996	Radiochemical Engineering Development Center (Buildings 7920, 7930, and Support Areas)	16 06/21/2006	
WSS Set 6	05/07/1997	Radiochemical Development Facility (Building 3019 and its ancillary buildings)	17 06/21/2006 18 07/21/2006	
WSS Set 7	05/07/1997	Irradiated Materials Examination and Testing Facility and Irradiated Fuels Examination Laboratory (Buildings 3025E, 3525, and Support Areas)	16 06/21/2006	
WSS Set 8	05/07/1997	Construction and Construction-like Activities	6 03/13/2006	
WSS Set 9	04/14/1998	Engineering Design of Standard Industrial, Radiological, Non-Reactor Category 2 and 3 Nuclear, and Accelerator Facilities	8 06/21/2006	
WSS Set 10	09/10/1998	High Flux Isotope Reactor and its associated facilities	19 06/21/2006	
WSS Set 12	05/15/2000	Chem-Bio Facility (Building 5507A)	1 03/12/2002	
WSS Set 13	04/18/2003	Spallation Neutron Source	2 11/04/2005	
S/RID	11/20/1997	Occurrence Reporting	2 11/06/2003	
S/RID	11/05/1996	Emergency Management	9 03/09/2006	

**FOOTNOTES:**

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) This document is ES&H-related and appears in one or more of the current Work Standards (WSS) Sets or is incorporated in the Standards/Requirements Identification Document (S/RID). In an S/RID or WSS Set, the document may be referenced in its entirety or only certain chapters, paragraphs, or sections. Additional information regarding directives and their applicability may be obtained from specific WSS sets.